

Table 1: Medicaid and CHIP: October and November 2016 Preliminary Monthly Enrollment

**Performance Indicator Information:**

The Medicaid and CHIP performance indicators were developed in consultation with states, and are intended to assist in monitoring the eligibility and enrollment processes for Medicaid and CHIP programs in all states. A common set of definitions were developed for consistency in reporting the Medicaid and CHIP performance indicators. More detailed information about the performance indicators, including these standardized definitions can be found in the Methodology: About the Medicaid and CHIP Application, Eligibility Determination, and Enrollment Report at <https://www.medicaid.gov/medicaid/program-information/medicaid-and-chip-enrollment-data/report-highlights/index.html>.

Total enrollment figures represent the total unduplicated number of individuals enrolled in Medicaid and CHIP as of the last day of the reporting period, including those with retroactive, conditional, and presumptive eligibility. These figures are a point-in-time count of total program enrollment, and not solely a count of those newly enrolled during the reporting period. These figures include only those individuals who are eligible for comprehensive benefits (e.g., emergency Medicaid, family planning-only coverage and limited benefit dual eligible individuals are excluded), except as indicated in the state-specific notes included with the tables. Individuals eligible under demonstration projects authorized by section 1115 of the Social Security Act are included as long as the benefits and networks are comprehensive. The enrollment impact of the Medicaid expansion varies; some states expanded coverage to parents and other adults at income levels above the level required under federal law before the Affordable Care Act. For purposes of this report, the term “states” includes the 50 states and the District of Columbia.

Some of the data contained in this table is designated as "preliminary" because it is reported soon after the close of the reporting month and contains few, if any, individuals who become eligible in current month after the close of the month due to retroactive eligibility under Medicaid or similar reasons. When applicable, states report “updated” data one month after the close of the reporting period to account for retroactive enrollment. Updated enrollment data for prior months is available on the Monthly Medicaid and CHIP Application, Eligibility Determination, and Enrollment Reports and Updated Data page at <https://www.medicaid.gov/medicaid/program-information/medicaid-and-chip-enrollment-data/report-highlights/index.html>.

The figures in this table may differ from other published state and national enrollment figures because they include only individuals with comprehensive benefits, except as indicated in the state-specific notes included with the tables. For purposes of this report, the term “states” includes the 50 states and the District of Columbia.

States are at various points in the process of transitioning from their historic definitions to the updated standardized reporting specifications. Where states have provided data that vary from our specifications, we have included that information in the data quality limitations sections below.

**Data Context Notes:**

- Florida revised its enrollment methodology in October 2016 to incorporate its SSI population that were enrolled in Medicaid and to more closely report to CMS’s data specifications, but it was not until November 2016 that Florida was able to fully revise its baseline figure and ensure comparability across all months.
- Both Montana and North Dakota revised their enrollment methodologies in November to more closely report to CMS’s data specifications. The states subsequently revised all prior enrollment counts to reflect the updated methodology and to ensure comparability across months.
- For November 2016, we are reporting growth of 16,405,344 compared to July-September 2013. This figure is less than the 16,954,576 in net enrollment growth that was included in the Medicaid and CHIP: October 2016 Applications, Eligibility Determinations, and Enrollment Report by nearly 550,000. This difference does not match the 36,099 increase in the full report for the October to November 2016 period because, to better align with CMS’s data specifications, Florida updated its baseline data this month and Montana and North Dakota updated their enrollment methodologies, which means that the summary statistics in the October 2016 report are not perfectly comparable to the figures in this report.

Table 1: Medicaid and CHIP: October and November 2016 Preliminary Monthly Enrollment

		Enrollment					
States Expanding Medicaid	Marketplace Type	Total Medicaid and CHIP Enrollment, October 2016 (Preliminary) (I)	Total Medicaid and CHIP Enrollment, November 2016 (Preliminary) (II)	% Change October to November 2016 (Columns (I) and (II)) (III)	Average Monthly Medicaid and CHIP Enrollment, July-Sept. 2013 (IV)	Net Change, July-Sept. 2013 to November 2016 (Columns (IV) and (II)) (V)	% Change, July-Sept. 2013 to November 2016 (Columns (IV) and (II)) (VI)
Alaska	FFM	168,697	171,925	1.91%	122,334	49,591	40.54%
Arizona	FFM	1,735,807	1,739,690	0.22%	1,201,770	537,920	44.76%
Arkansas	Partnership	942,076	931,219	-1.15%	556,851	374,368	67.23%
California	SBM	12,189,352	12,209,605	0.17%	7,755,381	4,454,224	57.43%
Colorado	SBM	1,359,805	1,372,172	0.91%	783,420	588,752	75.15%
Connecticut	SBM	753,260	750,009	-0.43%	-	-	-
Delaware	Partnership	236,796	239,614	1.19%	223,324	16,290	7.29%
District of Columbia	SBM	262,642	262,213	-0.16%	235,786	26,427	11.21%
Hawaii	SBM**	343,047	345,085	0.59%	288,357	56,728	19.67%
Illinois	Partnership	3,113,291	3,095,748	-0.56%	2,626,943	468,805	17.85%
Indiana	FFM	1,495,512	1,502,760	0.48%	1,120,674	382,086	34.09%
Iowa	Partnership	626,496	624,062	-0.39%	493,515	130,547	26.45%
Kentucky	SBM	1,227,277	1,229,387	0.17%	606,805	622,582	102.60%
Louisiana	FFM	1,379,385	1,398,560	1.39%	1,019,787	378,773	37.14%
Maryland	SBM	1,250,588	1,258,623	0.64%	856,297	402,326	46.98%
Massachusetts	SBM	1,694,595	1,665,877	-1.69%	1,296,359	369,518	28.50%
Michigan	Partnership	2,284,448	2,289,460	0.22%	1,912,009	377,451	19.74%
Minnesota	SBM	1,020,985	1,021,837	0.08%	873,040	148,797	17.04%
Montana	Plan Management	236,190	241,054	2.06%	148,974	92,080	61.81%
Nevada	SBM**	620,116	620,757	0.10%	332,560	288,197	86.66%
New Hampshire	Partnership	188,309	186,377	-1.03%	127,082	59,295	46.66%
New Jersey	FFM	1,746,432	1,755,847	0.54%	1,283,851	471,996	36.76%
New Mexico	SBM**	769,759	772,084	0.30%	457,678	314,406	68.70%
New York	SBM	6,411,923	6,411,780	0.00%	5,678,417	733,363	12.91%
North Dakota	FFM	83,149	83,493	0.41%	69,980	13,513	19.31%
Ohio	Plan Management	2,976,223	2,985,406	0.31%	2,341,481	643,925	27.50%
Oregon	SBM**	991,464	981,415	-1.01%	626,356	355,059	56.69%
Pennsylvania	FFM	2,880,702	2,880,219	-0.02%	2,386,046	494,173	20.71%
Rhode Island	SBM	286,777	290,809	1.41%	190,833	99,976	52.39%
Vermont	SBM	167,528	166,794	-0.44%	161,081	5,713	3.55%
Washington	SBM	1,790,841	1,800,105	0.52%	1,117,576	682,529	61.07%
West Virginia	Partnership	571,987	569,492	-0.44%	354,544	214,948	60.63%
<b>Subtotal for All States Expanding Medicaid</b>		<b>51,805,459</b>	<b>51,853,478</b>	<b>0.09%</b>	<b>37,249,111</b>	<b>13,854,358</b>	<b>37.19%</b>
<b>Subtotal for All States with Expansions in Effect and Providing Coverage in Reporting Month</b>		<b>51,805,459</b>	<b>51,853,478</b>	<b>0.09%</b>	<b>37,249,111</b>	<b>13,854,358</b>	<b>37.19%</b>
<b>Subtotal for States Expanding Medicaid that Reported in October and November 2016</b>		<b>51,805,459</b>	<b>51,853,478</b>	<b>Difference October to November 2016 48,019</b>			
<b>Subtotal for States Expanding Medicaid that Reported in November 2016 and July-Sept. 2013</b>			<b>51,103,469</b>		<b>37,249,111</b>	<b>Difference July-Sept 2013 to November 2016 13,854,358</b>	

Table 1: Medicaid and CHIP: October and November 2016 Preliminary Monthly Enrollment

\*\*= The state's Marketplace uses the FFM's information technology platform for eligibility and enrollment functions (the FFM makes either assessments or determinations of Medicaid and CHIP eligibility).

(-)=state has not reported data except as noted below.

Partnership, Plan Management, FFM/SBM-SHOP are all types of FFMs.

Column III is calculated for only those states that reported both October and November 2016 data.

Columns V and VI are calculated for only those states that reported data from both November 2016 and the July-Sept. 2013 period.

The subtotals for states reporting data from both November 2016 and the July-Sept. 2013 period exclude CT.

Arkansas	(I), (II)	Includes Private Option enrollees.
California	(I), (II)	May include some retroactive enrollment.
California	(IV)	Includes approximately 650,000 individuals transferred from the Low Income
California		Health Program section 1115 demonstration.
Connecticut	(I), (II)	May not include all enrollees.
District of Columbia	(I), (II), (IV)	Includes limited benefit dual eligible individuals and individuals enrolled in the locally funded DC Health Alliance.
Illinois	(IV)	Includes individuals who received retroactive coverage (unlike columns (I) and (II)).
Indiana	(I), (II), (IV)	Includes partial benefit dual eligible individuals.
Michigan	(I), (II)	Includes partial benefit program enrollees.
Minnesota	(IV)	May include duplicates (unlike columns (I) and (II)).
Montana	(I)	Corrected.
New York	(I), (II)	Includes estimated retroactive enrollment.
New York	(I), (II)	Includes partial benefit program enrollees.
North Dakota	(I)	Corrected.
North Dakota	(IV)	Data is from July 2013 only.
Oregon	(IV)	Includes emergency Medicaid population.
Washington	(I), (II)	Includes individuals enrolled at any point during the month.

Table 1: Medicaid and CHIP: October and November 2016 Preliminary Monthly Enrollment

States Not Expanding Medicaid	Marketplace Type	Enrollment					
		Total Medicaid and CHIP Enrollment, October 2016 (Preliminary) (I)	Total Medicaid and CHIP Enrollment, November 2016 (Preliminary) (II)	% Change October to November 2016 (Columns (I) and (II)) (III)	Average Monthly Medicaid and CHIP Enrollment, July-Sept. 2013 (IV)	Net Change, July-Sept. 2013 to November 2016 (Columns (IV) and (II)) (V)	% Change, July-Sept. 2013 to November 2016 (Columns (IV) and (II)) (VI)
Alabama	FFM	885,037	883,030	-0.23%	799,176	83,854	10.49%
Florida	FFM	4,343,861	4,339,202	-0.11%	3,695,306	643,896	17.42%
Georgia	FFM	1,733,579	1,731,250	-0.13%	1,535,090	196,160	12.78%
Idaho	SBM	296,629	298,332	0.57%	238,150	60,182	25.27%
Kansas	Plan Management	423,320	416,379	-1.64%	378,160	38,219	10.11%
Maine	Plan Management	269,575	269,051	-0.19%	-	-	-
Mississippi	FFM/SBM-SHOP	679,735	677,630	-0.31%	637,229	40,401	6.34%
Missouri	FFM	975,708	977,195	0.15%	846,084	131,111	15.50%
Nebraska	Plan Management	237,667	237,608	-0.02%	244,600	-6,992	-2.86%
North Carolina	FFM	2,027,969	2,010,348	-0.87%	1,595,952	414,396	25.97%
Oklahoma	FFM	803,971	803,648	-0.04%	790,051	13,597	1.72%
South Carolina	FFM	1,001,103	998,620	-0.25%	889,744	108,876	12.24%
South Dakota	Plan Management	119,694	119,696	0.00%	115,501	4,195	3.63%
Tennessee	FFM	1,614,937	1,636,906	1.36%	1,244,516	392,390	31.53%
Texas	FFM	4,770,936	4,773,593	0.06%	4,441,605	331,988	7.47%
Utah	FFM/SBM-SHOP	305,758	304,178	-0.52%	294,029	10,149	3.45%
Virginia	Plan Management	974,030	976,674	0.27%	935,434	41,240	4.41%
Wisconsin	FFM	1,040,474	1,039,577	-0.09%	985,531	54,046	5.48%
Wyoming	FFM	61,650	60,796	-1.39%	67,518	-6,722	-9.96%
<b>Subtotal for All States Not Expanding Medicaid</b>		<b>22,565,633</b>	<b>22,553,713</b>	<b>-0.05%</b>	<b>19,733,676</b>	<b>2,550,986</b>	<b>12.93%</b>
<b>Subtotal for States Not Expanding Medicaid that Reported in October and November 2016</b>		<b>22,565,633</b>	<b>22,553,713</b>	<b>Difference October to November 2016 -11,920</b>			
<b>Subtotal for States Not Expanding Medicaid that Reported in November 2016 and July-Sept. 2013</b>			<b>22,284,662</b>		<b>19,733,676</b>	<b>Difference July-Sept 2013 to November 2016 2,550,986</b>	

(-)=state has not reported data except as noted below.

Partnership, Plan Management, FFM/SBM-SHOP are all types of FFM.

Column III is calculated for only those states that reported both October and November 2016 data.

Columns V and VI are calculated for only those states that reported data from both November 2016 and the July-Sept. 2013 period.

The subtotals for states reporting data from both November 2016 and the July-Sept. 2013 period exclude ME.

Alabama	(I)	Corrected.
Alabama	(IV)	Data is from September 2013 only.
Florida	(IV)	Corrected.
Missouri	(I), (II)	Does not include all individuals funded under Title XXI or enrollees in a premium grace period.
Utah	(I), (II), (IV)	Includes service limited Medicare program beneficiaries (SLMBs).
Wisconsin	(IV)	Does not include retroactive enrollment.

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All States	Total Enrollment					
	Total Medicaid and CHIP Enrollment, October 2016 (Preliminary) (I)	Total Medicaid and CHIP Enrollment, November 2016 (Preliminary) (II)	% Change October to November 2016 (Columns (I) and (II)) (III)	Average Monthly Medicaid and CHIP Enrollment, July-Sept. 2013 (IV)	Net Change, July-Sept. 2013 to November 2016 (Columns (IV) and (II)) (V)	% Change, July-Sept. 2013 to November 2016 (Columns (IV) and (II)) (VI)
<b>Total Across All States</b>	<b>74,371,092</b>	<b>74,407,191</b>	<b>0.05%</b>	<b>56,982,787</b>	<b>16,405,344</b>	<b>28.79%</b>
<b>Total for States that Reported in October and November 2016</b>	<b>74,371,092</b>	<b>74,407,191</b>	<b>Difference October to November 2016 36,099</b>			
<b>Total for States that Reported in November 2016 and July-Sept. 2013</b>		<b>73,388,131</b>		<b>56,982,787</b>	<b>Difference July-Sept 2013 to November 2016 16,405,344</b>	

Column III is calculated for only those states that reported both October and November 2016 data.

Columns V and VI are calculated for only those states that reported data from both November 2016 and the July-Sept. 2013 period.

Totals for states reporting data from both November 2016 and the July-Sept. 2013 period exclude CT and ME.

Table 2: Medicaid and CHIP: November 2016 Preliminary Monthly Medicaid and CHIP Child Enrollment

**Performance Indicator Information:**

The Medicaid and CHIP performance indicators were developed in consultation with states, and are intended to assist in monitoring the eligibility and enrollment processes for Medicaid and CHIP programs in all states. A common set of definitions were developed for consistency in reporting the Medicaid and CHIP performance indicators. More detailed information about the performance indicators, including these standardized definitions can be found in the Methodology: About the Medicaid and CHIP Application, Eligibility Determination, and Enrollment Report at <https://www.medicaid.gov/medicaid/program-information/medicaid-and-chip-enrollment-data/report-highlights/index.html>.

Child enrollment figures represent the children enrolled in the Medicaid program and the total enrollment for separate CHIP programs as of the last day of the reporting period, including those with retroactive, conditional, and presumptive eligibility. These figures are a point-in-time count of total program enrollment, and not solely counts of those newly enrolled during the reporting period. These figures include only those individuals who are eligible for comprehensive benefits (e.g., emergency Medicaid, family planning-only coverage and limited benefit dual eligible individuals are excluded). States use the definition of "child" as included in the state's Medicaid or CHIP state plan in reporting performance indicator data to CMS, which varies from state to state.

Some of the data contained in this table is designated as "preliminary" because it is reported soon after the close of the reporting month and contains few, if any, individuals who become eligible in current month after the close of the month due to retroactive eligibility under Medicaid or similar reasons. When applicable, states report "updated" data one month after the close of the reporting period to account for retroactive enrollment. Updated enrollment data for prior months is available on the Monthly Medicaid and CHIP Application, Eligibility Determination, and Enrollment Reports and Updated Data page at <https://www.medicaid.gov/medicaid/program-information/medicaid-and-chip-enrollment-data/report-highlights/index.html>.

The figures in this table may differ from other published state and national enrollment figures because they include only individuals with comprehensive benefits, except as indicated in the state-specific notes included with the tables. For purposes of this report, the term "states" includes the 50 states and the District of Columbia.

States are at various points in the process of transitioning from their historic definitions to the updated standardized reporting specifications. Where states have provided data that vary from our specifications, we have included that information in the data quality limitations sections below.

**Data Context Notes:**

- Prior months' total child enrollment figures include data that is reported more than a month after the close of the reporting period, and thus include some children enrolled retroactively. The preliminary November 2016 data included in this report is collected in the month after the reporting period and includes very few (if any) children enrolled retroactively. An updated November figure that includes more retroactively enrolled individuals will be included in the next report in this series.
- Florida revised its enrollment methodology in October 2016 to incorporate its SSI population enrolled in Medicaid and to more closely report to CMS's data specifications. The state subsequently revised prior enrollment counts to reflect the updated methodology and to ensure comparability across these months.
- Both Montana and North Dakota revised their enrollment methodologies in November to more closely report to CMS's data specifications. The states subsequently revised all prior enrollment counts to reflect the updated methodology and to ensure comparability across months.

Table 2: Medicaid and CHIP: November 2016 Preliminary Monthly Medicaid and CHIP Child Enrollment

Enrollment								
State	Medicaid and CHIP Child Enrollment					November, 2016 (Preliminary) (VI)	November, 2016 (Preliminary) (VII)	November, 2016 (Preliminary) (VIII)
	June, 2016 (I)	July, 2016 (II)	August, 2016 (III)	September, 2016 (IV)	October, 2016 (V)			
Alabama	635,498	635,474	645,400	636,549	636,517	625,288	883,030	70.81%
Alaska	79,098	79,820	81,161	81,996	82,991	82,402	171,925	47.93%
Arizona	-	-	-	-	-	-	1,739,690	-
Arkansas	394,352	416,522	436,815	447,683	447,862	438,513	931,219	47.09%
California	5,323,854	5,272,422	5,294,522	5,204,781	5,174,390	5,176,184	12,209,605	42.39%
Colorado	623,755	625,547	629,223	630,474	630,317	629,257	1,372,172	45.86%
Connecticut	306,934	302,790	306,614	308,118	304,361	301,347	750,009	40.18%
Delaware	103,185	103,483	103,587	103,086	103,487	104,570	239,614	43.64%
District of Columbia	-	-	-	-	-	-	262,213	-
Florida	2,435,202	2,562,602	2,577,645	2,577,226	2,587,155	2,587,604	4,339,202	59.63%
Georgia	1,256,036	1,249,207	1,253,841	1,247,781	1,241,069	1,216,762	1,731,250	70.28%
Hawaii	144,905	144,953	144,895	145,341	145,059	145,097	345,085	42.05%
Idaho	212,737	213,536	215,357	216,555	217,482	217,992	298,332	73.07%
Illinois	1,459,641	1,457,438	1,457,307	1,455,784	1,448,861	1,441,820	3,095,748	46.57%
Indiana	778,700	779,476	782,960	783,847	790,320	778,604	1,502,760	51.81%
Iowa	301,153	296,546	300,017	301,136	305,018	304,103	624,062	48.73%
Kansas	299,333	300,675	298,723	297,405	297,257	290,999	416,379	69.89%
Kentucky	560,376	556,611	555,243	555,488	559,684	560,779	1,229,387	45.61%
Louisiana	758,463	758,186	758,432	760,658	763,040	764,457	1,398,560	54.66%
Maine	115,707	115,297	115,217	114,726	114,470	113,498	269,051	42.18%
Maryland	583,842	586,851	592,836	593,691	596,148	593,173	1,258,623	47.13%
Massachusetts	663,057	667,912	672,309	678,296	679,695	667,228	1,665,877	40.05%
Michigan	952,979	944,754	941,328	939,954	941,923	932,795	2,289,460	40.74%
Minnesota	517,377	516,492	519,101	524,388	515,561	506,080	1,021,837	49.53%
Mississippi	475,345	473,143	470,829	469,278	465,770	459,263	677,630	67.77%
Missouri	620,557	619,592	624,612	629,138	633,532	635,448	977,195	65.03%
Montana	119,106	119,706	120,626	121,640	121,939	122,604	241,054	50.86%
Nebraska	160,032	160,434	161,173	161,835	161,588	157,401	237,608	66.24%
Nevada	292,404	293,183	295,720	296,284	298,266	298,560	620,757	48.10%
New Hampshire	95,324	94,878	94,622	94,311	94,927	93,368	186,377	50.10%
New Jersey	845,855	847,398	848,216	848,029	849,109	838,278	1,755,847	47.74%
New Mexico	355,611	356,573	358,107	358,519	358,486	358,969	772,084	46.49%
New York	2,454,668	2,459,451	2,467,177	2,473,037	2,474,002	2,463,313	6,411,780	38.42%
North Carolina	1,414,083	1,421,816	1,430,363	1,435,520	1,439,890	1,393,568	2,010,348	69.32%
North Dakota	32,530	33,428	34,496	35,228	37,587	38,336	83,493	45.92%
Ohio	1,258,439	1,256,056	1,260,252	1,261,144	1,259,521	1,239,540	2,985,406	41.52%
Oklahoma	505,667	510,043	512,059	518,207	524,217	525,138	803,648	65.34%
Oregon	423,080	419,835	416,789	416,173	416,326	406,228	981,415	41.39%
Pennsylvania	1,368,280	1,367,123	1,375,842	1,377,641	1,377,070	1,371,374	2,880,219	47.61%
Rhode Island	114,894	114,938	115,307	114,844	114,905	115,259	290,809	39.63%
South Carolina	642,536	644,673	648,083	650,347	633,872	631,114	998,620	63.20%
South Dakota	81,971	82,015	82,431	82,509	82,424	82,510	119,696	68.93%
Tennessee	-	-	-	-	-	-	1,636,906	-
Texas	3,491,429	3,492,205	3,512,929	3,522,567	3,534,456	3,515,150	4,773,593	73.64%
Utah	221,327	220,801	221,678	221,103	219,832	214,702	304,178	70.58%
Vermont	66,844	65,294	64,429	63,987	63,962	63,571	166,794	38.11%
Virginia	658,628	659,323	661,558	661,093	661,406	650,986	976,674	66.65%
Washington	829,165	829,519	832,561	834,851	837,165	835,879	1,800,105	46.44%
West Virginia	227,083	226,886	228,688	228,330	228,316	227,678	569,492	39.98%
Wisconsin	493,726	493,137	493,942	493,749	492,062	491,612	1,039,577	47.29%
Wyoming	40,420	39,914	39,804	39,646	38,393	37,724	60,796	62.05%
<b>Total For All States</b>	<b>35,795,188</b>	<b>35,887,958</b>	<b>36,054,826</b>	<b>36,013,973</b>	<b>36,001,690</b>	<b>35,746,125</b>	<b>74,407,191</b>	<b>50.51%</b>
<b>Number of States Reporting</b>	<b>48</b>	<b>48</b>	<b>48</b>	<b>48</b>	<b>48</b>	<b>48</b>	<b>51</b>	<b>48</b>

Table 2: Medicaid and CHIP: November 2016 Preliminary Monthly Medicaid and CHIP Child Enrollment

For general notes on enrollment data, see Table 1: Medicaid and CHIP: October and November 2016 Preliminary Monthly Enrollment.

(-) = State has not reported data or data submitted was incomplete.

States that provide coverage to pregnant women through CHIP and whose child enrollment figures include pregnant women are CO, MO, NJ, RI, VA.

Column VIII is calculated for only those states that reported both November 2016 child enrollment data and November 2016 Total Medicaid and CHIP enrollment data.

Michigan	(I) - (VII)	Does not include share of cost and full benefit 1115 waiver enrollees.
Missouri	(I) - (VII)	Does not include all individuals funded under Title XXI or enrollees in a premium grace period.
New York	(I) - (VII)	Includes estimated retroactive enrollment.
Washington	(I) - (VII)	Includes individuals enrolled at any point during the month.



Table 3: Medicaid and CHIP: November 2016 Monthly Applications and Eligibility Determinations

**Performance Indicator Information:**

The Medicaid and CHIP performance indicators were developed in consultation with states, and are intended to assist in monitoring the eligibility and enrollment processes for Medicaid and CHIP programs in all states. A common set of definitions were developed for consistency in reporting the Medicaid and CHIP performance indicators. More detailed information about the performance indicators, including these standardized definitions can be found in the Methodology: About the Medicaid and CHIP Application, Eligibility Determination, and Enrollment Report at <https://www.medicaid.gov/medicaid/program-information/medicaid-and-chip-enrollment-data/report-highlights/index.html>.

The number of applications received by the Medicaid agency, a separate CHIP agency (if one exists in the state), or both during the reporting period (column (I)), includes applications received online, via mail, in person or phone. These figures do not include applications submitted to a State-Based Marketplace (SBM) or the Federally-Facilitated Marketplace (FFM). Applications requesting financial assistance (Medicaid, CHIP or the Advanced Premium Tax Credit and Cost-Sharing Reductions) that have been received by the SBM during the reporting period are reported in column (II). Please note, information on applications received by (and Medicaid and CHIP assessments and determinations made by) the Marketplaces during the Marketplace open enrollment period is included in the Health Insurance Marketplace's 2016 Open Enrollment Period: Final Enrollment Report (March 11, 2016).

Columns (VI) and (VII) include the total number of individuals determined eligible for Medicaid (under title XIX of the Social Security Act) (column (VI)) and CHIP (column (VII)) during the reporting period based on applications for coverage submitted to any state agency (Medicaid, CHIP or the SBM) or based on administrative determinations authorized under a targeted enrollment strategy approved by CMS. The unit of measurement is a count of individuals (as compared to the application numbers, which may include multiple people in one application). In states receiving account transfers from the FFM in the reporting period where the FFM makes an eligibility assessment (and the state then completes the Medicaid determination), these numbers include determinations made on accounts assessed as eligible and transferred from the FFM. In other states, where the state has delegated to the FFM the authority to make Medicaid eligibility determinations, the report does not include the FFM determinations because the Medicaid/CHIP agency is not performing the determinations.

States are at various points in the process of transitioning from their historic definitions to the updated standardized reporting specifications. Where states have provided data that vary from our specifications, we have included that information in the data quality limitations sections below.

**Data Context Notes:**

- Because of reporting capability, some states included renewals, applications to SBMs, or transfers from the FFM in their Medicaid and CHIP agency application data. See the data quality limitation notes below for state-specific caveats.
- California did not report SBM application data in November, and Tennessee only provided determination data from their CHIP program.
- Nebraska corrected its October application data.

Table 3: Medicaid and CHIP: November 2016 Monthly Applications and Eligibility Determinations

States Expanding Medicaid	Marketplace Type	Applications					Determinations		
		New Applications Submitted to Medicaid and CHIP Agencies, November 2016 (Preliminary) (I)	Applications for Financial Assistance Submitted to the State Based Marketplace, November 2016 (Preliminary) (II)	Total Applications for Financial Assistance Submitted at State Level, November 2016 (Preliminary) (III)	Total Applications for Financial Assistance Submitted at State Level, October 2016 (Preliminary) (IV)	% Change October to November 2016 (Columns (IV) and (III)) (V)	Individuals Determined Eligible for Medicaid at Application, November 2016 (Preliminary) (VI)	Individuals Determined Eligible for CHIP at Application, November 2016 (Preliminary) (VII)	Total New Determinations, November 2016 (Preliminary) (VIII)
Alaska	FFM	3,256	N/A	3,256	3,196	1.88%	4,201	-	4,201
Arizona	FFM	-	N/A	-	-	-	-	-	-
Arkansas	Partnership	-	N/A	-	-	-	-	-	-
California	SBM	85,331	-	85,331	77,005	10.81%	119,823	9,546	129,369
Colorado	SBM	29,793	8,433	38,226	22,110	72.89%	20,762	295	21,057
Connecticut	SBM	11,356	8,775	20,131	13,976	44.04%	13,795	187	13,982
Delaware	Partnership	4,480	N/A	4,480	2,964	51.15%	579	29	608
District of Columbia	SBM	4,672	-	4,672	4,688	-0.34%	4,902	-	4,902
Hawaii	SBM**	4,048	-	4,048	3,928	3.05%	7,086	387	7,473
Illinois	Partnership	91,454	N/A	91,454	71,066	28.69%	41,082	12,920	54,002
Indiana	FFM	72,086	N/A	72,086	79,524	-9.35%	33,076	2,859	35,935
Iowa	Partnership	20,039	N/A	20,039	21,387	-6.30%	2,788	-	2,788
Kentucky	SBM	-	10,789	10,789	12,616	-14.48%	-	-	-
Louisiana	FFM	26,976	N/A	26,976	31,025	-13.05%	32,567	1,572	34,139
Maryland	SBM	6,118	93,477	99,595	105,949	-6.00%	26,155	2,795	28,950
Massachusetts	SBM	18,297	11,496	29,793	24,600	21.11%	-	-	-
Michigan	Partnership	49,282	N/A	49,282	52,799	-6.66%	51,883	1,805	53,688
Minnesota	SBM	4,730	47,508	52,238	34,282	52.38%	28,605	36	28,641
Montana	Plan Management	4,512	N/A	4,512	4,173	8.12%	4,177	277	4,454
Nevada	SBM**	22,951	-	22,951	18,609	23.33%	11,617	60	11,677
New Hampshire	Partnership	7,646	N/A	7,646	7,946	-3.78%	5,380	638	6,018
New Jersey	FFM	29,665	N/A	29,665	47,232	-37.19%	15,153	5,123	20,276
New Mexico	SBM**	8,109	N/A	8,109	7,945	2.06%	-	-	-
New York	SBM	-	882,963	882,963	730,066	20.94%	116,015	11,357	127,372
North Dakota	FFM	2,231	N/A	2,231	1,814	22.99%	2,953	88	3,041
Ohio	Plan Management	61,107	N/A	61,107	52,379	16.66%	244,405	-	244,405
Oregon	SBM**	18,706	-	18,706	23,684	-21.02%	34,911	3,152	38,063
Pennsylvania	FFM	70,922	N/A	70,922	81,023	-12.47%	42,859	8,313	51,172
Rhode Island	SBM	-	-	-	-	-	-	-	-
Vermont	SBM	3,482	2,182	5,664	5,449	3.95%	3,230	59	3,289
Washington	SBM	20,458	118,294	138,752	79,965	73.52%	44,230	1,386	45,616
West Virginia	Partnership	22,721	N/A	22,721	23,075	-1.53%	8,446	434	8,880
<b>Subtotal for All States Expanding Medicaid</b>		<b>704,428</b>	<b>1,183,917</b>	<b>1,888,345</b>	<b>1,644,475</b>	<b>14.83%</b>	<b>920,680</b>	<b>63,318</b>	<b>983,998</b>
<b>Subtotal for All States with Expansions in Effect and Providing Coverage in Reporting Month</b>		<b>704,428</b>	<b>1,183,917</b>	<b>1,888,345</b>	<b>1,644,475</b>	<b>14.83%</b>	<b>920,680</b>	<b>63,318</b>	<b>983,998</b>
<b>Subtotal for States Expanding Medicaid that Reported in October and November 2016</b>				<b>1,888,345</b>	<b>1,644,475</b>	<b>Difference October to November 2016 243,870</b>			

\*\*= The state's Marketplace uses the FFM's information technology platform for eligibility and enrollment functions (the FFM makes either assessments or determinations of Medicaid and CHIP eligibility).

(-)=state has not reported data except as noted below.

Column V is calculated for only those states that report October and November 2016 Applications data (subtotals exclude AR, AZ, RI).

Partnership, Plan Management, FFM/SBM-SHOP are all types of FFMs.

† Reported value is less than 10, excluded from data set to ensure privacy.

Table 3: Medicaid and CHIP: November 2016 Monthly Applications and Eligibility Determinations

Alaska	(I), (III), (IV), (VI), (VII)	Includes renewals converting to <b>MAGI</b> methodology.
Alaska	(VI), (VIII)	Includes <b>CHIP</b> .
California	(I),(IV)	Reflects primarily newly-determined and likely eligible Medicaid applicants,
California		as well as some ongoing caseload activity conducted via the state's health exchange automation system.
California	(VI), (VII), (VIII)	Does not include data from all consortia.
California	(VI)	Reflects primarily newly-determined and likely eligible Medicaid applicants
California		as well as some ongoing caseload activity conducted via the state's health exchange automation system.
California	(VI), (VII), (VIII)	Does not include all eligibility determinations.
Connecticut	(I), (III), (IV)	Data may include some duplication of applications between Medicaid and <b>CHIP</b> .
Connecticut	(II)	Data may contain duplicate applications.
Connecticut	(II), (VI), (VII), (VIII)	May include some change in circumstance updates that are not new applications for coverage.
District of Columbia	(I)	Includes <b>SBM</b> data.
District of Columbia	(III), (IV)	Includes renewals.
District of Columbia	(VI)	Includes all determinations (e.g., renewals); includes <b>CHIP</b> .
Iowa	(VI)	Does not include <b>MAGI</b> determinations.
Iowa	(VI), (VII), (VIII)	Data are incomplete; does not include all determinations.
Iowa	(I), (III), (IV), (VI), (VII)	Includes renewals.
Iowa	(I), (III), (IV)	Includes <b>SSI</b> enrollees automatically enrolled in Medicaid without submitting an application.
Maryland	(VI), (VII), (VIII)	Count is of households, not individuals. Includes State Medicaid Agency data and <b>SBM</b> data from 11/1 - 11/30.
Maryland	(II), (III), (IV), (VI), (VII), (VIII)	Includes some renewals from the <b>SBM</b> .
Michigan	(VI)	Does not include <b>MAGI</b> determinations.
Michigan	(VI)	Includes renewals.
Nevada	(I), (III), (IV)	Includes renewals.
Nevada	(VI)	Count is of households, not individuals. Includes renewals.
New Jersey	(I), (III), (IV)	Includes applications received at county welfare agencies.
New Jersey	(VI), (VII), (VIII)	Does not include all eligibility determinations; county welfare agencies determinations are not included in this data.
New York	(II), (III), (IV), (VI), (VII), (VIII)	Includes renewals.
Ohio	(I), (III), (IV)	Includes renewals.
Ohio	(VI)	Includes <b>CHIP</b> .
Ohio	(VI), (VIII)	Includes renewals.
Oregon	(VI)	Count is of households, not individuals.
Oregon	(I), (III), (IV) (VI), (VIII)	Includes <b>MAGI</b> populations only.
Pennsylvania	(I), (III), (IV)	Includes renewals.
Pennsylvania	(VI), (VIII)	Includes renewals.
Pennsylvania	(VI), (VII), (VIII)	Count is of households, not individuals.
Rhode Island	(I), (III), (IV), (VI), (VII)	Includes only applications received and determinations made through new <b>MAGI</b> system.
Vermont	(III), (IV)	Includes renewals.
Vermont	(VI)	Includes renewals.

Table 3: Medicaid and CHIP: November 2016 Monthly Applications and Eligibility Determinations

States Not Expanding Medicaid	Marketplace Type	Applications					Determinations		
		New Applications Submitted to Medicaid and CHIP Agencies, November 2016 (Preliminary) (I)	Applications for Financial Assistance Submitted to the State Based Marketplace, November 2016 (Preliminary) (II)	Total Applications for Financial Assistance Submitted at State Level, November 2016 (Preliminary) (III)	Total Applications for Financial Assistance Submitted at State Level, October 2016 (Preliminary) (IV)	% Change October to November 2016 (Columns (IV) and (III)) (V)	Individuals Determined Eligible for Medicaid at Application, November 2016 (Preliminary) (VI)	Individuals Determined Eligible for CHIP at Application, November 2016 (Preliminary) (VII)	Total New Determinations, November 2016 (Preliminary) (VIII)
Alabama	FFM	14,606	N/A	14,606	15,077	-3.12%	22,383	3,061	25,444
Florida	FFM	261,156	N/A	261,156	302,224	-13.59%	121,249	16,926	138,175
Georgia	FFM	82,217	N/A	82,217	72,778	12.97%	39,311	2,160	41,471
Idaho	SBM	12,673	-	12,673	8,758	44.70%	3,322	270	3,592
Kansas	Plan Management	-	N/A	-	-	-	-	-	-
Maine	Plan Management	1,718	N/A	1,718	1,671	2.81%	9,073	362	9,435
Mississippi	FFM/SBM-SHOP	17,934	N/A	17,934	17,584	1.99%	10,334	480	10,814
Missouri	FFM	19,995	N/A	19,995	20,234	-1.18%	10,988	-	10,988
Nebraska	Plan Management	6,558	N/A	6,558	6,538	0.31%	6,462	775	7,237
North Carolina	FFM	22,083	N/A	22,083	21,485	2.78%	31,569	3,553	35,122
Oklahoma	FFM	35,791	N/A	35,791	35,030	2.17%	43,247	7,979	51,226
South Carolina	FFM	20,694	N/A	20,694	19,672	5.20%	5,843	112	5,955
South Dakota	Plan Management	3,055	N/A	3,055	2,527	20.89%	1,558	-	1,558
Tennessee	FFM	517	N/A	517	495	4.44%	-	387	387
Texas	FFM	103,063	N/A	103,063	111,177	-7.30%	96,462	14,795	111,257
Utah	FFM/SBM-SHOP	28,371	N/A	28,371	19,574	44.94%	45,294	-	45,294
Virginia	Plan Management	23,577	N/A	23,577	24,919	-5.39%	15,172	525	15,697
Wisconsin	FFM	24,440	N/A	24,440	24,897	-1.84%	19,978	1,412	21,390
Wyoming	FFM	745	N/A	745	674	10.53%	-	-	-
<b>Subtotal for All States Not Expanding Medicaid</b>		<b>679,193</b>	<b>-</b>	<b>679,193</b>	<b>705,314</b>	<b>-3.71%</b>	<b>482,245</b>	<b>52,797</b>	<b>535,042</b>
<b>Subtotal for States Not Expanding Medicaid that Reported in October and November 2016</b>				<b>679,193</b>	<b>705,314</b>	<b>Difference October to November 2016 -26,121</b>			
<b>Total Across All States</b>		<b>1,383,621</b>	<b>1,183,917</b>	<b>2,567,538</b>	<b>2,349,789</b>	<b>9.27%</b>	<b>1,402,925</b>	<b>116,115</b>	<b>1,519,040</b>
<b>Total for States that Reported in October and November 2016</b>				<b>2,567,538</b>	<b>2,349,789</b>	<b>Difference October to November 2016 217,749</b>			

(-)=state has not reported data except as noted below.

Column V is calculated for only those states that reported October and November 2016 Applications data (subtotals exclude KS; totals exclude AR, AZ, KS, RI).

Partnership, Plan Management, FFM/SBM-SHOP are all types of FFM.

# Percent change figures in the subtotal of column V exclude TN, which only reports CHIP data.

† Reported value is less than 10, excluded from data set to ensure privacy.

Table 3: Medicaid and CHIP: November 2016 Monthly Applications and Eligibility Determinations

Florida	(I), (III), (IV)	Includes account transfers from the FFM assessed for CHIP.
Florida	(I), (III), (IV)	Does not include applications for partial benefit programs.
Florida	(VI), (VIII)	Does not include determinations for partial benefit programs.
Missouri	(VI)	Includes CHIP.
Missouri	(VI), (VIII), (VIII)	Count is of households, not individuals.
Nebraska	(IV)	Corrected.
South Carolina	(VI), (VII), (VIII)	Includes only determinations made in legacy system, which include MAGI and/or CHIP cases that are in blended non-MAGI households or non-MAGI only household.
South Dakota	(I), (III), (IV)	Includes account transfers from the FFM.
South Dakota	(I), (III), (IV)	Number of applications is undercounted.
South Dakota	(I), (III), (IV)	Includes individuals who are administratively enrolled without submitting an application.
South Dakota	(VI)	Includes CHIP.
South Dakota	(VI), (VII), (VIII)	Count is of households, not individuals.
South Dakota	(VI), (VII), (VIII)	Includes renewals.
Tennessee	(I), (III)	Includes only unborn CHIP children.
Tennessee	(IV)	Data are from CHIP agency only. Medicaid reporting capability in development.
Tennessee	(VII), (VIII)	Includes only unborn CHIP children.
Tennessee	(VI)	Excluded because data is only from CHIP agency.
Utah	(I), (III), (IV)	Includes applications for non-health coverage programs.
Utah	(I), (III), (IV)	Includes account transfers from the FFM.
Utah	(VI)	Includes CHIP.
Virginia	(I), (III), (IV)	Includes renewals.
Virginia	(VI), (VII)	Includes renewals.
Wisconsin	(I), (III), (IV)	Excludes all partial benefit program applications except for family planning.
Wisconsin	(VI), (VII)	Count is of unique individuals receiving determinations, not unique determinations.
Wyoming	(I), (III), (IV)	Does not include applications received online.
Wyoming	(I), (III), (IV)	Includes SSI enrollees automatically enrolled in Medicaid without submitting an application.