#### Performance Indicator Information:

The Medicaid and CHIP performance indicators were developed in consultation with states, and are intended to assist in monitoring the eligibility and enrollment processes for Medicaid and CHIP programs in all states. A common set of definitions were developed for consistency in reporting the Medicaid and CHIP performance indicators. More detailed information about the performance indicators, including these standardized definitions can be found in the Methodology: About the Medicaid and CHIP Application, Eligibility Determination, and Enrollment Report at https://www.medicaid.gov/medicaid/program-information/medicaid-and-chip-enrollment-data/report-highlights/index.html.

Total enrollment figures represent the total unduplicated number of individuals enrolled in Medicaid and CHIP as of the last day of the reporting period, including those with retroactive, conditional, and presumptive eligibility. These figures are a point-in-time count of total program enrollment, and not solely a count of those newly enrolled during the reporting period. These figures include only those individuals who are eligible for comprehensive benefits (e.g., emergency Medicaid, family planning-only coverage and limited benefit dual eligible individuals are excluded), except as indicated in the state-specific notes included with the tables. Individuals eligible under demonstration projects authorized by section 1115 of the Social Security Act are included as long as the benefits and networks are comprehensive. The enrollment impact of the Medicaid expansion varies; some states expanded coverage to parents and other adults at income levels above the level required under federal law before the Affordable Care Act. For purposes of this report, the term "states" includes the 50 states and the District of Columbia.

Some of the data contained in this table is designated as "preliminary" because it is reported soon after the close of the reporting month and contains few, if any, individuals who become eligible in current month after the close of the month due to retroactive eligibility under Medicaid or similar reasons. When applicable, states report "updated" data one month after the close of the reporting period to account for retroactive enrollment. Updated enrollment data for prior months is available on the Monthly Medicaid and CHIP Application, Eligibility Determination, and Enrollment Reports and Updated Data page at https://www.medicaid.gov/medicaid-chip-program-information/program-information/medicaid-and-chip-enrollment-data/previous-monthly-medicaid-and-chip-application-eligibility-determination-and-enrollment-reports-and-updated-data.html.

The figures in this table may differ from other published state and national enrollment figures because they include only individuals with comprehensive benefits, except as indicated in the state-specific notes included with the tables. For purposes of this report, the term "states" includes the 50 states and the District of Columbia.

States are at various points in the process of transitioning from their historic definitions to the updated standardized reporting specifications. Where states have provided data that vary from our specifications, we have included that information in the data quality limitations sections below.

#### **Data Context Notes:**

• Florida revised its enrollment methodology in October 2016 to incorporate its SSI population that is enrolled in Medicaid and to more closely report to CMS's data specifications. The state subsequently revised its enrollment counts from July - September 2016 to reflect the updated methodology and to ensure comparability across these months. However, the state was not able to revise its baseline enrollment to account for its entire SSI population that is enrolled in Medicaid so its September and October enrollment figures are not perfectly comparable with the baseline.

• California updated its September enrollment figure to ensure comparability across months.

• For October 2016, we are reporting growth of 16,954,576 compared to July-September 2013. This figure is greater than the 15,714,326 in net enrollment growth that was included in the Medicaid and CHIP: September 2016 Applications, Eligibility Determinations, and Enrollment Report by more than 1.24 million. This difference does not match the 45,279 increase reported above for the September to October 2016 period because the 45,279 figure is based on 51 states, while the 1.24 million figure is based on only 49 states. Also, two states updated its data this month to better align with CMS's data specifications, which means that the summary statistics in the September 2016 report are not perfectly comparable to the figures in this report.

				Enro	ollment		
States Expanding Medicaid	Marketplace Type	Total Medicaid and CHIP Enrollment, September 2016 (Preliminary) (I)	Total Medicaid and CHIP Enrollment, October 2016 (Preliminary) (II)	% Change September to October 2016 (Columns (I) and (II)) (III)	Average Monthly Medicaid and CHIP Enrollment, July- Sept. 2013 (IV)	Net Change, July-Sept. 2013 to October 2016 (Columns (IV) and (II)) (V)	% Change, July-Sept. 2013 to October 2016 (Columns (IV) and (II)) (VI)
Alaska	FFM	165,298	168,697	2.06%	122,334	46,363	37.90%
Arizona	FFM	1,726,841	1,735,807	0.52%	1,201,770	534,037	44.44%
Arkansas	Partnership	938,313	942,076	0.40%	556,851	385,225	69.18%
California	SBM	12,281,044	12,189,352	-0.75%	7,755,381	4,433,971	57.17%
Colorado	SBM	1,363,350	1,359,805	-0.26%	783,420	576,385	73.57%
Connecticut	SBM	764,441	753,260	-1.46%	-	-	-
Delaware	Partnership	235,665	236,796	0.48%	223,324	13,472	6.03%
District of Columbia	SBM	260,576	262,642	0.79%	235,786	26,856	11.39%
Hawaii	SBM**	343,001	343,047	0.01%	288,357	54,690	18.97%
Illinois	Partnership	3,071,031	3,113,291	1.38%	2,626,943	486,348	18.51%
Indiana	FFM	1,486,253	1,495,512	0.62%	1,120,674	374,838	33.45%
lowa	Partnership	619,679	626,496	1.10%	493,515	132,981	26.95%
Kentucky	SBM	1,219,044	1,227,277	0.68%	606,805	620,472	102.25%
Louisiana	FFM	1,359,014	1,379,385	1.50%	1,019,787	359,598	35.26%
Maryland	SBM	1,248,005	1,250,588	0.21%	856,297	394,291	46.05%
Massachusetts	SBM	1,671,804	1,694,595	1.36%	1,296,359	398,236	30.72%
Michigan	Partnership	2,288,245	2,284,448	-0.17%	1,912,009	372,439	19.48%
Minnesota	SBM	1,059,681	1,020,985	-3.65%	873,040	147,945	16.95%
Montana	Plan Management	226,121	226,678	0.25%	148,974	77,704	52.16%
Nevada	SBM**	616,142	620,116	0.64%	332,560	287,556	86.47%
New Hampshire	Partnership	186,935	188,309	0.74%	127,082	61,227	48.18%
New Jersey	FFM	1,752,877	1,746,432	-0.37%	1,283,851	462,581	36.03%
New Mexico	SBM**	768,665	769,759	0.14%	457,678	312,081	68.19%
New York	SBM	6,410,803	6,411,923	0.02%	5,678,417	733,506	12.92%
North Dakota	FFM	89,830	90,759	1.03%	69,980	20,779	29.69%
Ohio	Plan Management	2,978,033	2,976,223	-0.06%	2,341,481	634,742	27.11%
Oregon	SBM**	986,137	991,464	0.54%	626,356	365,108	58.29%
Pennsylvania	FFM	2,864,515	2,880,702	0.57%	2,386,046	494,656	20.73%
Rhode Island	SBM	285,652	286,777	0.39%	190,833	95,944	50.28%
Vermont	SBM	169,976	167,528	-1.44%	161,081	6,447	4.00%
Washington	SBM	1,785,189	1,790,841	0.32%	1,117,576	673,265	60.24%
West Virginia	Partnership	574,629	571,987	-0.46%	354,544	217,443	61.33%
Subtotal for All States Expa	anding Medicaid	51,796,789	51,803,557	0.01%	37,249,111	13,801,186	37.05%
Subtotal for All States with	Expansions in Effect and						
Providing Coverage in Repo	•	51,796,789	51,803,557	0.01%	37,249,111	13,801,186	37.05%
Subtotal for States Expand Reported in September and		51,796,789	51,803,557	Difference September to October 2016 6,768			
Subtotal for States Expand Reported in October 2016	ing Medicaid that		51,050,297		37,249,111	Difference July-Sept 2013 to October 2016 13,801,186	

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\*\*= The state's Marketplace uses the FFM's information technology platform for eligibility and enrollment functions (the FFM makes either assessments or determinations of Medicaid and CHIP eligibility).

(-)=state has not reported data except as noted below.

Partnership, Plan Management, FFM/SBM-SHOP are all types of FFMs.

Column III is calculated for only those states that reported both September and October 2016 data.

Columns V and VI are calculated for only those states that reported data from both October 2016 and the July-Sept. 2013 period.

The subtotals for states reporting data from both October 2016 and the July-Sept. 2013 period exclude CT.

Arkansas	(I), (II)	Includes Private Option enrollees.
California	(I)	Corrected.
California	(I), (II)	May include some retroactive enrollment.
California	(IV)	Includes approximately 650,000 individuals transferred from the Low Income
California		Health Program section 1115 demonstration.
Connecticut	(II)	May not include all enrollees.
District of Columbia	(I), (II), (IV)	Includes limited benefit dual eligible individuals and individuals enrolled in the locally funded DC Health Alliance.
Illinois	(IV)	Includes individuals who received retroactive coverage (unlike columns (I) and (II)).
Indiana	(I), (II), (IV)	Includes partial benefit dual eligible individuals.
Michigan	(I), (II)	Includes partial benefit program enrollees.
Minnesota	(IV)	May include duplicates (unlike columns (I) and (II)).
New York	(I), (II)	Includes estimated retroactive enrollment.
New York	(I), (II)	Includes partial benefit program enrollees.
North Dakota	(IV)	Data is from July 2013 only.
Oregon	(IV)	Includes emergency Medicaid population.
Washington	(I), (II)	Includes individuals enrolled at any point during the month.

				Enro	ollment		
States Not Expanding Medicaid Marketplace Type		Total Medicaid and CHIP Enrollment, September 2016 (Preliminary) (I)	Total Medicaid and CHIP Enrollment, October 2016 (Preliminary) (II)	% Change September to October 2016 (Columns (I) and (II)) (III)	Average Monthly Medicaid and CHIP Enrollment, July- Sept. 2013 (IV)	Net Change, July-Sept. 2013 to October 2016 (Columns (IV) and (II)) (V)	% Change, July-Sept. 2013 to October 2016 (Columns (IV) and (II)) (VI)
Alabama	FFM	883,021	885,735	0.31%	799,176	86,559	10.83%
Florida	FFM	4,328,562	4,343,861	0.35%	3,104,996	1,238,865	39.90%
Georgia	FFM	1,743,341	1,733,579	-0.56%	1,535,090	198,489	12.93%
Idaho	SBM	294,604	296,629	0.69%	238,150	58,479	24.56%
Kansas	Plan Management	423,428	423,320	-0.03%	378,160	45,160	11.94%
Maine	Plan Management	270,056	269,575	-0.18%	-	-	-
Mississippi	FFM/SBM-SHOP	682,319	679,735	-0.38%	637,229	42,506	6.67%
Missouri	FFM	971,223	975,708	0.46%	846,084	129,624	15.32%
Nebraska	Plan Management	237,472	237,667	0.08%	244,600	-6,933	-2.83%
North Carolina	FFM	2,020,076	2,027,969	0.39%	1,595,952	432,017	27.07%
Oklahoma	FFM	795,804	803,971	1.03%	790,051	13,920	1.76%
South Carolina	FFM	999,548	1,001,103	0.16%	889,744	111,359	12.52%
South Dakota	Plan Management	119,856	119,694	-0.14%	115,501	4,193	3.63%
Tennessee	FFM	1,622,288	1,614,937	-0.45%	1,244,516	370,421	29.76%
Texas	FFM	4,747,970	4,770,936	0.48%	4,441,605	329,331	7.41%
Utah	FFM/SBM-SHOP	306,736	305,758	-0.32%	294,029	11,729	3.99%
Virginia	Plan Management	972,839	974,030	0.12%	935,434	38,596	4.13%
Wisconsin	FFM	1,045,274	1,040,474	-0.46%	985,531	54,943	5.57%
Wyoming	FFM	63,403	61,650	-2.76%	67,518	-5,868	-8.69%
Subtotal for All States Not I	Expanding Medicaid	22,527,820	22,566,331	0.17%	19,143,366	3,153,390	16.47%
	Subtotal for States Not Expanding Medicaid that Reported in September and October 2016		22,566,331	Difference September to October 2016 38,511			
Subtotal for States Not Expanding Medicaid that Reported in October 2016 and July-Sept. 2013			22,296,756		19,143,366	Difference July-Sept 2013 to October 2016 3,153,390	

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(-)=state has not reported data except as noted below.

Partnership, Plan Management, FFM/SBM-SHOP are all types of FFMs.

Column III is calculated for only those states that reported both September and October 2016 data.

Columns V and VI are calculated for only those states that reported data from both October 2016 and the July-Sept. 2013 period.

The subtotals for states reporting data from both October 2016 and the July-Sept. 2013 period exclude ME.

Alabama	(IV)	Data is from September 2013 only.
Florida	(I)	Corrected.
Florida	(I), (II)	Includes entire SSI population (unlike column (IV)).
Florida	(IV)	Does not include entire SSI population.
Missouri	(I), (II)	Does not include all individuals funded under Title XXI or enrollees in a premium grace period.
Utah	(I), (II), (IV)	Includes service limited Medicare program beneficiaries (SLMBs).
Wisconsin	(IV)	Does not include retroactive enrollment.

		Total Enrollment									
All States	Total Medicaid and CHIP Enrollment, September 2016 (Preliminary) (I)	Total Medicaid and CHIP Enrollment, October 2016 (Preliminary) (II)	% Change September to October 2016 (Columns (I) and (II)) (III)	Average Monthly Medicaid and CHIP Enrollment, July- Sept. 2013 (IV)	Net Change, July-Sept. 2013 to October 2016 (Columns (IV) and (II)) (V)	% Change, July-Sept. 2013 to October 2016 (Columns (IV) and (II)) (VI)					
Total Across All States	74,324,609	74,369,888	0.06%	56,392,477	16,954,576	30.07%					
Total for States that Reported in September and			Difference September to October 2016								
October 2016	74,324,609	74,369,888	45,279		Difference July-Sept						
Total for States that Reported in October 2016 and July-Sept. 2013		73,347,053		56,392,477	2013 to October 2016						

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Column III is calculated for only those states that reported both September and October 2016 data.

Columns V and VI are calculated for only those states that reported data from both October 2016 and the July-Sept. 2013 period.

Totals for states reporting data from both October 2016 and the July-Sept. 2013 period exclude CT and ME.

				Enr	ollment			
			Medicaid and CHIP	<sup>e</sup> Child Enrollment			Total Medicaid and CHIP Enrollment	Medicaid and CHIP Child Enrollment as a % of Total Enrollment (Columns (VI) and (VII))
State	May, 2016 (l)	June, 2016 (11)	July, 2016 (111)	August, 2016 (IV)	September, 2016 (V)	October, 2016 (Preliminary) (VI)	October, 2016 (Preliminary) (VII)	October, 2016 (Preliminary) (VIII)
Alabama	636,871	635,498	635,474	645,400	636,549	626,916	885,735	70.78%
Alaska	78,560	79,098	79,820	81,161	81,996	81,468	1 68,697	48.29%
Arizona	-	-	-	-	-	-	1,735,807	-
Arkansas	385,362	394,352	416,522	436,815	447,683	447,862	942,076	47.54%
California	5,196,125	5,323,854	5,272,422	5,294,522	5,204,781	5,174,390	12,189,352	42.45%
Colorado	622,329	623,755	625,547	629,223	630,474	628,165	1,359,805	46.20%
Connecticut	306,947	306,934	302,790	306,614	308,118	304,361	753,260	40.41%
Delaware	102,787	103,185	103,483	103,587	103,086	103,487	236,796	43.70%
District of Columbia	-	-	-	-	-	-	262,642	-
Florida	2,429,872	2,435,202	2,562,602	2,577,645	2,577,226	2,587,155	4,343,861	59.56%
Georgia	1,258,561	1,256,036	1,249,207	1,253,841	1,247,781	1,218,239	1,733,579	70.27%
Hawaii	144,544	144,905	144,953	144,895	145,341	144,828	343,047	42.22%
Idaho	211,755	212,737	213,536	215,357	216,555	216,949	296,629	73.14%
Illinois	1,464,251	1,459,641	1,457,438	1,457,307	1,455,784	1,448,830	3,113,291	46.54%
Indiana	777,897	778,700	779,476	782,960	783,847	772,061	1,495,512	51.63%
lowa	301,411	301,153	296,546	300,017	301,136	305,018	626,496	48.69%
Kansas	295,328	299,333	300,675	298,723	297,405	297,257	423,320	70.22%
Kentucky	553,947	560,376	556,611	555,243	555,488	559,684	1,227,277	45.60%
Louisiana	758,863	758,463	758,186	758,432	760,658	763,040	1,379,385	55.32%
Maine	6,4	115,707	115,297	115,217	114,726	113,664	269,575	42.16%
Maryland	579,993	583,842	586,851	592,836	593,691	590,138	1,250,588	47.19%
Massachusetts	664,351	663,057	667,912	672,309	678,296	679,371	1,694,595	40.09%
Michigan	955,519	952,979	944,754	941,328	939,954	931,478	2,284,448	40.77%
Minnesota	515,162	517,377	516,492	519,101	524,388	506,573	1,020,985	49.62%
Mississippi	476,884	475,345	473,143	470,829	469,278	461,195	679,735	67.85%
Missouri	620,323	620,557	619,592	624,612	629,138	633,532	975,708	64.93%
Montana	118,710	119,106	119,706	120,626	121,092	120,623	226,678	53.21%
Nebraska	160,128	160,032	160,434	161,173	161,835	157,559	237,667	66.29%
Nevada	292,025	292,404	293,183	295,720	296,284	298,266	620,116	48.10%
New Hampshire	95,470	95,324	94,878	94,622	94,311	94,263	188,309	50.06%
New Jersey	845,968	845,855	847,398	848,216	848,029	834,764	1,746,432	47.80%
New Mexico	-	355,611	356,573	358,107	358,519	358,486	769,759	46.57%
New York	2,457,391	2,454,668	2,459,451	2,467,177	2,473,037	2,467,540	6,411,923	38.48%
North Carolina	1,416,126	1,414,083	1,421,816	1,430,363	1,435,520	1,407,534	2,027,969	69.41%
North Dakota	41,883	42,005	41,769	41,959	42,065	42,620	90,759	46.96%
Ohio	1,261,881	1,258,439	1,256,056	1,260,252	1,261,144	1,244,865	2,976,223	41.83%
Oklahoma	501,349	505,667	510,043	512,059	518,207	524,217	803,971	65.20%
Oregon	426,103	423,080	419,835	416,789	416,173	407,899	991,464	41.14%
Pennsylvania	1,366,499	1,368,280	1,367,123	1,375,842	1,377,641	1,373,026	2,880,702	47.66%
Rhode Island	113,891	114,894	114,938	115,307	114,844	114,367	286,777	39.88%
South Carolina	638,595	642,536	644,673	648,083	633,238	633,872	1,001,103	63.32%
South Dakota	81,865	81,971	82,015	82,431	82,509	82,424	119,694	68.86%
Tennessee	-	-		-	-	-	1,614,937	-
Texas	3,484,991	3,491,429	3,492,205	3,512,929	3,522,567	3,511,209	4,770,936	73.60%
Utah	222,188	221,327	220,801	221,678	221,103	215,782	305,758	70.57%
Vermont	67,628	66,844	65,294	64,429	63,987	63,325	167,528	37.80%
Virginia	659,649	658,628	659,323	661,558	661,093	649,754	974,030	66.71%
Washington	828,273	829,165	829,519	832,561	834,851	833,107	1,790,841	46.52%
West Virginia	214,001	227,083	226,886	228,688	228,330	228,316	571,987	39.92%
Wisconsin	494,362	493,726	493,137	493,942	493,749	492,062	1,040,474	47.29%
Wyoming	40,384	40,420	39,914	39,804	39,646	38,393	61,650	62.28%
Total For All States	35,283,413	35,804,663	35,896,299	36,062,289	36,003,153	35,789,934	74,369,888	50.58%
Number of States	47	48	48	48				
Reporting	47	48	48	48	48	48	51	48

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Table 2: Medicaid and CHIP: October 2016 Preliminary Monthly Medicaid and CHIP Child Enrollment

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For general notes on enrollment data, see Table I: Medicaid and CHIP: September and October 2016 Preliminary Monthly Enrollment. (-)=State has not reported data or data submitted was incomplete. States that provide coverage to pregnant women through CHIP and whose child enrollment figures include pregnant women are CO, MO, NJ, RI, VA. Column VIII is calculated for only those states that reported both October 2016 child enrollment data and October 2016 Total Medicaid and CHIP enrollment data .

Michigan	(I) - (VII)	Does not include share of cost and full benefit 1115 waiver enrollees.
Missouri	(I) - (VII)	Does not include all individuals funded under Title XXI or enrollees in a premium grace period.
New York	(I) - (VII)	Includes estimated retroactive enrollment.
Washington	(I) - (VII)	Includes individuals enrolled at any point during the month.

#### Performance Indicator Information:

The Medicaid and CHIP performance indicators were developed in consultation with states, and are intended to assist in monitoring the eligibility and enrollment processes for Medicaid and CHIP programs in all states. A common set of definitions were developed for consistency in reporting the Medicaid and CHIP performance indicators. More detailed information about the performance indicators, including these standardized definitions can be found in the Methodology: About the Medicaid and CHIP Application, Eligibility Determination, and Enrollment Report at https://www.medicaid.gov/medicaid/program-information/medicaid-and-chip-enrollment-data/report-highlights/index.html.

The number of applications received by the Medicaid agency, a separate CHIP agency (if one exists in the state), or both during the reporting period (column (I)), includes applications received online, via mail, in person or phone. These figures do not include applications submitted to a State-Based Marketplace (SBM) or the Federally-Facilitated Marketplace (FFM). Applications requesting financial assistance (Medicaid, CHIP or the Advanced Premium Tax Credit and Cost-Sharing Reductions) that have been received by the SBM during the reporting period are reported in column (II). Please note, information on applications received by (and Medicaid and CHIP assessments and determinations made by) the Marketplaces during the Marketplace open enrollment period is included in the Health Insurance Marketplace's 2016 Open Enrollment Report (March 11, 2016).

Columns (VI) and (VII) include the total number of individuals determined eligible for Medicaid (under title XIX of the Social Security Act) (column (VI)) and CHIP (column (VII)) during the reporting period based on applications for coverage submitted to any state agency (Medicaid, CHIP or the SBM) or based on administrative determinations authorized under a targeted enrollment strategy approved by CMS. The unit of measurement is a count of individuals (as compared to the application numbers, which may include multiple people in one application). In states receiving account transfers from the FFM in the reporting period where the FFM makes an eligibility assessment (and the state then completes the Medicaid determination), these numbers include determinations made on accounts assessed as eligible and transferred from the FFM. In other states, where the state has delegated to the FFM the authority to make Medicaid eligibility determinations, the report does not include the FFM determinations because the Medicaid/CHIP agency is not performing the determinations.

States are at various points in the process of transitioning from their historic definitions to the updated standardized reporting specifications. Where states have provided data that vary from our specifications, we have included that information in the data quality limitations sections below.

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#### Data Context Notes:

Because of reporting capability, some states included renewals, applications to SBMs, or transfers from the FFM in their Medicaid and CHIP agency application data. See the data quality limitation notes below for state-specific caveats.
California did not report SBM application data in September, and Tennessee only provided determination data from their CHIP program.

				Applications			Determinations			
States Expanding Medicaid	Marketplace Type	New Applications Submitted to Medicaid and CHIP Agencies, October 2016 (Preliminary) (I)	Applications for Financial Assistance Submitted to the State Based Marketplace, October 2016 (Preliminary) (II)	Total Applications for Financial Assistance Submitted at State Level, October 2016 (Preliminary) (III)	Total Applications for Financial Assistance Submitted at State Level, September 2016 (Preliminary) (IV)	% Change September to October 2016 (Columns (IV) and (III)) (V)	Individuals Determined Eligible for Medicaid at Application, October 2016 (Preliminary) (VI)	Individuals Determined Eligible for CHIP at Application, October 2016 (Preliminary) (VII)	Total New Determinations, October 2016 (Preliminary) (VIII)	
Alaska	FFM	3,196	N/A	3,196	3,209	-0.41%	3,336	-	3,336	
Arizona	FFM	-	N/A	-	-	-	-	-	-	
Arkansas	Partnership	-	N/A		-	-	-	-	-	
California	SBM	77,005	-	77,005	196,089	-60.73%	133,383	9,919	143,302	
Colorado	SBM	20,250	I,860	22,110	19,978	10.67%	17,587	196	17,783	
Connecticut	SBM	9,887	4,089	13,976	14,509	-3.67%	12,167	114	12,281	
Delaware	Partnership	2,964	N/A	2,964	2,889	2.60%	624	30	654	
District of Columbia	SBM	4,688		4,688	5,521	-15.09%	4,856	-	4,856	
Hawaii	SBM**	3,928		3,928	4,276	-8.14%	6,147	316	6,463	
Illinois	Partnership	71,066			81,706	-13.02%	47,531	15,384	62,915	
Indiana	FFM	79,524		79,524	84,883	-6.31%	37,111	3,113	40,224	
lowa	Partnership	21,387		21,387	21,041	1.64%	3,069	-	3,069	
Kentucky	SBM	21,007	12,616		13,182	-4.29%	5,007		5,007	
Louisiana	FFM	31,025			33,532		41,027	2,249	43,276	
Maryland	SBM	6,742		105,949	103,138	2.73%	24,467	2,062	26,529	
Massachusetts	SBM	16,489		24,600	21,318	15.40%	21,107	-	20,327	
Michigan	Partnership	52,799		52,799	55,471	-4.82%	53,215	1,692	54,907	
Minnesota	SBM	5,210			33,349	2.80%	27,292		27,354	
Montana	Plan Management	4,173			4,452		5,343	337	5,680	
Nevada	SBM**	18,609		18,609	19,658	-5.34%	11,798	47	11,845	
New Hampshire		7,946		7,946	8,590	-7.50%	5,525	456	5,981	
	Partnership FFM	47,232			29,321	-7.30%	12,355	436	17,015	
New Jersey	SBM**	7,945		7,945			12,335	4,000	17,015	
New Mexico					8,418	-5.62%	-	-	-	
New York	SBM	-	, , , , , , , , , , , , , , , , , , , ,	730,066	587,457	24.28%	119,242	14,452	133,694	
North Dakota	FFM	1,814			1,870		2,674	91	2,765	
Ohio	Plan Management	52,379			52,573	-0.37%	251,888	-	251,888	
Oregon	SBM**	23,684		23,684	22,382	5.82%	36,788	3,224	40,012	
Pennsylvania	FFM	81,023	N/A	81,023	155,626	-47.94%	46,434	8,559	54,993	
Rhode Island	SBM	-	-	-	-	-	-	-		
Vermont	SBM	3,625		5,449	5,775	-5.65%	3,585	28	3,613	
Washington	SBM	20,019			80,338	-0.46%	39,456	886	40,342	
West Virginia	Partnership	23,075	N/A	23,075	28,618	-19.37%	11,330	617	11,947	
Subtotal for All States Expa	anding Medicaid	697,684	946,791	1,644,475	1,699,169	-3.22%	958,230	68,494	1,026,724	
Subtotal for All States with	Expansions in Effect and									
Providing Coverage in Repo	orting Month	697,684	946,791	1,644,475	1,699,169	-3.22%	958,230	68,494	1,026,724	
Subtotal for States Expandi Reported in September and	•			1,644,475	1,699,169	Difference September to October 2016 -54,694				

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\*\*= The state's Marketplace uses the FFM's information technology platform for eligibility and enrollment functions (the FFM makes either assessments or determinations of Medicaid and CHIP eligibility).

(-)=state has not reported data except as noted below.

Column V is calculated for only those states that report September and October 2016 Applications data (subtotals exclude AR, AZ, RI).

Partnership, Plan Management, FFM/SBM-SHOP are all types of FFMs.

† Reported value is less than 10, excluded from data set to ensure privacy.

	Alaska	(I), (III), (IV), (VI), (VII)	Includes renewals converting to MAGI methodology.
	Alaska	(VI), (VIII)	Includes CHIP.
	California	(I),(IV)	Reflects primarily newly-determined and likely eligible Medicaid applicants,
	California		as well as some ongoing caseload activity conducted via the state's health exchange automation system.
	California	(VI), (VII), (VIII)	Does not include data from all consortia.
	California	(VI)	Reflects primarily newly-determined and likely eligible Medicaid applicants
•	California		as well as some ongoing caseload activity conducted via the state's health exchange automation system.
	California	(VI), (VII), (VIII)	Does not include all eligibility determinations.
	Connecticut	(I), (III), (IV)	Data may include some duplication of applications between Medicaid and CHIP.
	Connecticut	(II)	Data may contain duplicate applications.
	Connecticut	(II), (VI), (VII), (VIII)	May include some change in circumstance updates that are not new applications for coverage.
1	District of Columbia	(I)	Includes SBM data.
1	District of Columbia	(III), (IV)	Includes renewals.
1	District of Columbia	(VI)	Includes all determinations (e.g., renewals); includes CHIP.
I	owa	(VI)	Does not include MAGI determinations.
I	owa	(VI), (VII), (VIII)	Data are incomplete; does not include all determinations.
I	owa	(I), (III), (IV), (VI), (VII)	Includes renewals.
I	owa	(I), (III), (IV)	Includes SSI enrollees automatically enrolled in Medicaid without submitting an application.
1	Maryland	(VI), (VII), (VIII)	Count is of households, not individuals. Includes State Medicaid Agency data and SBM data from 10/1 - 10/31.
	Maryland	(11), (111), (1V), (V1), (V11), (V111)	
	Michigan	(VI)	Does not include MAGI determinations.
	Michigan	(VI)	Includes renewals.
	Nevada	(I), (III), (IV)	Includes renewals.
	Nevada	(VI)	Count is of households, not individuals. Includes renewals.
	New Jersey	(I), (III), (IV)	Includes applications received at county welfare agencies.
1	New Jersey	(VI), (VII), (VIII)	Does not include all eligibility determinations; county welfare agencies determinations are not included in this data.
	New York	(11), (111), (1¥), (¥1), (¥11), (¥111)	Includes renewals.
	Ohio	(I), (III), (IV)	Includes renewals.
	Ohio	(VI)	Includes CHIP.
	Ohio	(VI), (VIII)	Includes renewals.
	Oregon	(VI)	Count is of households, not individuals.
	Oregon	(1), (III), (IV) (VI), (VIII)	Includes MAGI populations only.
	Pennsylvania	(I), (III), (IV)	Includes mass populations only.
	Pennsylvania	(VI), (VIII)	Includes renewals.
	Pennsylvania	(VI), (VII), (VIII)	
	Pennsylvania	(IV)	Count is of households, not individuals. Count is a mix of applications and individual applicants.
	Rhode Island	(IV) (I), (III), (IV), (VI), (VII)	
	Vermont	(i), (iii), (i¥), (¥i), (¥i) (iii), (i¥)	Includes only applications received and determinations made through new MAGI system.
	Vermont	(III), (IV) (VI)	Includes renewals.
	Termone .	(1)	Includes renewals.

				Applications			Determinations		
States Not Expanding Medicaid	Marketplace Type	New Applications Submitted to Medicaid and CHIP Agencies, October 2016 (Preliminary) (I)	Applications for Financial Assistance Submitted to the State Based Marketplace, October 2016 (Preliminary) (II)	Total Applications for Financial Assistance Submitted at State Level, October 2016 (Preliminary) (III)	Total Applications for Financial Assistance Submitted at State Level, September 2016 (Preliminary) (IV)	% Change September to October 2016 (Columns (IV) and (III)) (V)	Individuals Determined Eligible for Medicaid at Application, October 2016 (Preliminary) (VI)	Individuals Determined Eligible for CHIP at Application, October 2016 (Preliminary) (VII)	Total New Determinations, October 2016 (Preliminary) (VIII)
Alabama	FFM	15,077	N/A	15,077	15,520	-2.85%	23,705	3,143	26,848
Florida	FFM	302,224	N/A	302,224	288,758	4.66%	125,350	14,516	1 39,866
Georgia	FFM	72,778	N/A	72,778	74,987	-2.95%	37,839	2,130	39,969
Idaho	SBM	8,758	-	8,758	7,655	14.41%	2,504	182	2,686
Kansas	Plan Management	-	N/A	-	-	-	-	-	-
Maine	Plan Management	1,671	N/A	1,671	1,831	-8.74%	10,026	355	10,381
Mississippi	FFM/SBM-SHOP	17,584	N/A	17,584	16,355	7.51%	12,041	474	12,515
Missouri	FFM	20,234	N/A	20,234	19,400	4.30%	11,582	-	11,582
Nebraska	Plan Management	6,703	N/A	6,703	7,403	-9.46%	6,723	790	7,513
North Carolina	FFM	21,485	N/A	21,485	22,529	-4.63%	33,406	3,616	37,022
Oklahoma	FFM	35,030	N/A	35,030	36,661	-4.45%	39,451	6,640	46,091
South Carolina	FFM	19,672	N/A	19,672	22,572	-12.85%	5,060	101	5,161
South Dakota	Plan Management	2,527	N/A	2,527	2,650	-4.64%	1,566	-	I,566
Tennessee	FFM	495	N/A	495	524	-5.53%	-	468	468
Texas	FFM	, 77	N/A	111,177	111,637	-0.41%	98,759	15,217	113,976
Utah	FFM/SBM-SHOP	19,574	N/A	19,574	20,340	-3.77%	58,419	-	58,419
Virginia	Plan Management	-	N/A	-	23,365	-	-	-	-
Wisconsin	FFM	24,897	N/A	24,897	24,095	3.33%	16,810	1,733	18,543
Wyoming	FFM	674	N/A	674	I,574	-57.18%	-	-	-
Subtotal for All States Not E	Expanding Medicaid	680,560	-	680,560	697,856	0.90%	483,241	49,365	532,606
Subtotal for States Not Expanding Medicaid that Reported in September and October 2016				680,560	674,491	Difference September to October 2016 6,069			

Total Across All States	1,378,244	946,791	2,325,035	2,397,025	-2.05%	1,441,471	117,859	1,559,330
					Difference September			
Total for States that Reported in September and					to October 2016			
October 2016			2,325,035	2,373,660	-48,625			

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(-)=state has not reported data except as noted below.

Column V is calculated for only those states that reported September and October 2016 Applications data (subtotals exclude KS and VA; totals exclude AR, AZ, KS, RI, VA).

Partnership, Plan Management, FFM/SBM-SHOP are all types of FFMs.

# Percent change figures in the subtotal of column V exclude TN, which only reports CHIP data.

† Reported value is less than 10, excluded from data set to ensure privacy.

Florida	(I), (III), (IV)	Includes account transfers from the FFM assessed for CHIP.
Florida	(I), (III), (IV)	Does not include applications for partial benefit programs.
Florida	(VI), (VIII)	Does not include determinations for partial benefit programs.
Missouri	(VI)	Includes CHIP.
Missouri	(VI), (VIII), (VIII)	Count is of households, not individuals.
South Carolina	(VI), (VII), (VIII)	Includes only determinations made in legacy system, which include MAGI and/or CHIP cases that are in blended non-MAGI households or non-MAGI only household.
South Dakota	(I), (III), (IV)	Includes account transfers from the FFM.
South Dakota	(I), (III), (IV)	Number of applications is undercounted.
South Dakota	(I), (III), (IV)	Includes individuals who are administratively enrolled without submitting an application.
South Dakota	(VI)	Includes CHIP.
South Dakota	(VI), (VII), (VIII)	Count is of households, not individuals.
South Dakota	(VI), (VII), (VIII)	Includes renewals.
Tennessee	(I), (III)	Includes only unborn CHIP children.
Tennessee	(IV)	Data are from CHIP agency only. Medicaid reporting capability in development.
Tennessee	(VII), (VIII)	Includes only unborn CHIP children.
Tennessee	(VI)	Excluded because data is only from CHIP agency.
Utah	(I), (III), (IV)	Includes applications for non-health coverage programs.
Utah	(I), (III), (IV)	Includes account transfers from the FFM.
Utah	(VI)	Includes CHIP.
Virginia	(I), (III), (IV)	Includes renewals.
Virginia	(VI), (VII)	Includes renewals.
Wisconsin	(I), (III), (IV)	Excludes all partial benefit program applications except for family planning.
Wisconsin	(VI), (VII)	Count is of unique individuals receiving determinations, not unique determinations.
Wyoming	(I), (III), (IV)	Does not include applications received online.
Wyoming	(I), (III), (IV)	Includes SSI enrollees automatically enrolled in Medicaid without submitting an application.

#### Performance Indicator Information:

The Medicaid and CHIP performance indicators were developed in consultation with states, and are intended to assist in monitoring the eligibility and enrollment processes for Medicaid and CHIP programs in all states. A common set of definitions were developed for consistency in reporting the Medicaid and CHIP performance indicators. More detailed information about the performance indicators, including these standardized definitions can be found in the Methodology: About the Medicaid and CHIP Application, Eligibility Determination, and Enrollment Report at https://www.medicaid.gov/medicaid/program-information/medicaid-and-chip-enrollment-data/report-highlights/index.html.

Total enrollment figures represent the total unduplicated number of individuals enrolled in Medicaid and CHIP as of the last day of the reporting period, including those with retroactive, conditional, and presumptive eligibility. These figures are a point-in-time count of total program enrollment, and not solely a count of those newly enrolled during the reporting period. These figures include only those individuals who are eligible for comprehensive benefits (e.g., emergency Medicaid, family planning-only coverage and limited benefit dual eligible individuals are excluded), except as indicated in the state-specific notes included with the tables. Individuals eligible under demonstration projects authorized by section 1115 of the Social Security Act are included as long as the benefits and networks are comprehensive. The enrollment impact of the Medicaid expansion varies; some states expanded coverage to parents and other adults at income levels above the level required under federal law before the Affordable Care Act. For purposes of this report, the term "states" includes the 50 states and the District of Columbia.

Some of the data contained in this table is designated as "preliminary" because it is reported soon after the close of the reporting month and contains few, if any, individuals who become eligible in current month after the close of the month due to retroactive eligibility under Medicaid or similar reasons. When applicable, states report "updated" data one month after the close of the reporting period to account for retroactive enrollment. Updated enrollment data for prior months is available on the Monthly Medicaid and CHIP Application, Eligibility Determination, and Enrollment Reports and Updated Data page at https://www.medicaid.gov/medicaid-chip-program-information/medicaid-and-chip-enrollment-data/previous-monthly-medicaid-and-chip-application-eligibility-determination-and-enrollment-reports-and-updated-data.html.

The figures in this table may differ from other published state and national enrollment figures because they include only individuals with comprehensive benefits, except as indicated in the state-specific notes included with the tables. For purposes of this report, the term "states" includes the 50 states and the District of Columbia.

States are at various points in the process of transitioning from their historic definitions to the updated standardized reporting specifications. Where states have provided data that vary from our specifications, we have included that information in the data quality limitations sections below.

#### Data Context Notes:

• For the following states in the table below the "updated" September enrollment data is identical to previously published preliminary data: AR, AZ, CT, DC, DE, IA, KS, KY, LA, MN, MO, MT, ND, NM, NV, OK, SC, SD, WI, WV, WY.

• For the following states in the table below the "updated" August enrollment data is identical to previously published preliminary data: AR, AZ, CT, DC, DE, IA, KS, KY, LA, MN, MO, MT, ND, NM, NV, OH, OK, SD, WI, WV, WY.

• Florida revised its enrollment methodology in October 2016 to incorporate its SSI population that is enrolled in Medicaid and more closely report to CMS's data specifications. The state subsequently revised its enrollment counts from July - September 2016 to reflect the updated methodology and to ensure comparability across these months. However, the state was not able to revise its baseline enrollment to account for its entire SSI population that is enrolled in Medicaid so its August and September enrollment figures are not perfectly comparable with the baseline.

_		Enrollment									
States Expanding Medicaid	Marketplace Type	Total Medicaid and CHIP Enrollment, August 2016 (Updated) (I)	Total Medicaid and CHIP Enrollment, September 2016 (Updated) (II)	% Change August to September 2016 (Columns (I) and (II)) (III)	Average Monthly Medicaid and CHIP Enrollment, July- Sept. 2013 (IV)	Net Change, July-Sept. 2013 to September 2016 (Columns (IV) and (II)) (V)	% Change, July-Sept. 2013 to September 2016 (Columns (IV) and (II)) (VI)				
Alaska	FFM	166,625	169,380	1.65%	122,334	47,046	38.46%				
Arizona	FFM	1,716,198	1,726,841	0.62%	1,201,770	525,071	43.69%				
Arkansas	Partnership	920,194	938,313	1.97%	556,851	381,462	68.50%				
California	SBM	12,432,420	12,281,044	-1.22%	7,755,381	4,525,663	58.36%				
Colorado	SBM	1,375,264	1,372,096	-0.23%	783,420	588,676	75.14%				
Connecticut	SBM	761,137	764,441	0.43%	-	-	-				
Delaware	Partnership	236,702	235,665	-0.44%	223,324	12,341	5.53%				
District of Columbia	SBM	255,491	260,576	1.99%	235,786	24,790	10.51%				
Hawaii	SBM**	341,200	343,224	0.59%	288,357	54,867	19.03%				
Illinois	Partnership	3,117,939	3,116,270	-0.05%	2,626,943	489,327	18.63%				
Indiana	FFM	1,489,805	1,495,703	0.40%	1,120,674	375,029	33.46%				
lowa	Partnership	619,055	619,679	0.10%	493,515	126,164	25.56%				
Kentucky	SBM	1,220,788	1,219,044	-0.14%	606,805	612,239	100.90%				
Louisiana	FFM	1,328,708	1,359,014	2.28%	1,019,787	339,227	33.26%				
Maryland	SBM	1,252,304	1,255,816	0.28%	856.297	399.519	46.66%				
Massachusetts	SBM	1,684,328	1,692,569	0.49%	1,296,359	396.210	30.56%				
Michigan	Partnership	2,300,958	2,298,149	-0.12%	1,912,009	386,140	20.20%				
Minnesota	SBM	1,051,509	1,059,681	0.78%	873,040	186,641	21.38%				
Montana	Plan Management	224,048	226,121	0.93%	148,974	77,147	51.79%				
Nevada	SBM**	614,298	616,142	0.30%	332,560	283,582	85.27%				
New Hampshire	Partnership	189.429	188,268	-0.61%	127,082	61,186	48.15%				
New Jersey	FFM	1,784,529	1,779,632	-0.27%	1,283,851	495,781	38.62%				
New Mexico	SBM**	766,732	768,665	0.25%	457,678	310,987	67.95%				
New York	SBM	6,431,165	6,423,932	-0.11%	5,678,417	745,515	3. 3%				
North Dakota	FFM	89,763	89,830	-0.11%	5,676,417	19,850	28.37%				
Ohio		3.003.170		0.07%		668.225	28.54%				
	Plan Management SBM**	-,,	3,009,706		2,341,481 626,356	, .					
Oregon Benneuluenie		1,021,862	1,012,709	-0.90%	,	386,353 503,908	61.68%				
Pennsylvania Rhode Island	FFM SBM	2,883,114 287,173	2,889,954 286,836	0.24%	2,386,046		21.12% 50.31%				
Vermont	SBM	175,597	171,918	-0.12%	190,833	96,003 10,837	6.73%				
	SBM	1,788,536	1,792,729	-2.10%	1,117,576	675,153	60.41%				
Washington West Virginia	Partnership	575,645	574,629	-0.18%	354,544	220,085	62.08%				
		53 105 (0)	52 020 57/	0.12%	27.240.111	14 035 034	27.45%				
Subtotal for All States Expa		52,105,686	52,038,576	-0.13%	37,249,111	14,025,024	37.65%				
Subtotal for All States with Expansions in Effect and Providing Coverage in Reporting Month		52,105,686	52,038,576	-0.13%	37,249,111	14,025,024	37.65%				
Subtotal for States Expand Reported in August and Se	-	52,105,686	52,038,576	Difference August to September 2016 -67,110							
Subtotal for States Expand Reported in September 20	•		51,274,135		37,249,111	Difference July-Sept 2013 to September 2016 14,025,024					

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\*\*= The state's Marketplace uses the FFM's information technology platform for eligibility and enrollment functions (the FFM makes either assessments or determinations of Medicaid and CHIP eligibility).

(-)=state has not reported data except as noted below.

Partnership, Plan Management, FFM/SBM-SHOP are all types of FFMs.

Column III is calculated for only those states that reported both August and September 2016 data.

Columns V and VI are calculated for only those states that reported data from both September 2016 and the July-Sept. 2013 period.

The subtotals for states reporting data from both September 2016 and the July-Sept. 2013 period exclude CT.

Arkansas	(I), (II)	Includes Private Option enrollees.
California	(IV)	Includes approximately 650,000 individuals transferred from the Low Income
California		Health Program section 1115 demonstration.
District of Columbia	(I), (II), (IV)	Includes limited benefit dual eligible individuals and individuals enrolled in the locally funded DC Health Alliance.
Illinois	(IV)	Includes individuals who received retroactive coverage (unlike columns (I) and (II)).
Indiana	(I), (II), (IV)	Includes partial benefit dual eligible individuals.
Michigan	(I), (II)	Includes partial benefit program enrollees.
Minnesota	(IV)	May include duplicates (unlike columns (I) and (II)).
Michigan	(I)	Does not include share of cost and full benefit 1115 waiver enrollees.
New York	(I), (II)	Includes estimated retroactive enrollment.
New York	(I), (II)	Includes partial benefit program enrollees.
North Dakota	(IV)	Data is from July 2013 only.
Oregon	(IV)	Includes emergency Medicaid population.
Washington	(I), (II)	Includes individuals enrolled at any point during the month.

_		Enrollment									
States Not Expanding Medicaid	Marketplace Type	Total Medicaid and CHIP Enrollment, August 2016 (Updated) (I)	Total Medicaid and CHIP Enrollment, September 2016 (Updated) (II)	% Change August to September 2016 (Columns (I) and (II)) (III)	Average Monthly Medicaid and CHIP Enrollment, July- Sept. 2013 (IV)	Net Change, July-Sept. 2013 to September 2016 (Columns (IV) and (II)) (V)	% Change, July-Sept. 2013 to September 2016 (Columns (IV) and (II)) (VI)				
Alabama	FFM	910,775	893,989	-1.84%	799,176	94,813	11.86%				
Florida	FFM	4,333,426	4,328,562	-0.11%	3,104,996	1,223,566	39.41%				
Georgia	FFM	1,782,301	1,774,402	-0.44%	1,535,090	239,312	15.59%				
Idaho	SBM	293,905	295,839	0.66%	238,150	57,689	24.22%				
Kansas	Plan Management	421,638	423,428	0.42%	378,160	45,268	11.97%				
Maine	Plan Management	273,160	271,949	-0.44%	-	-	-				
Mississippi	FFM/SBM-SHOP	693,869	692,015	-0.27%	637,229	54,786	8.60%				
Missouri	FFM	967,284	971,223	0.41%	846,084	125,139	14.79%				
Nebraska	Plan Management	242,702	243,846	0.47%	244,600	-754	-0.31%				
North Carolina	FFM	2,072,282	2,076,656	0.21%	1,595,952	480,704	30.12%				
Oklahoma	FFM	788,544	795,804	0.92%	790,051	5,753	0.73%				
South Carolina	FFM	1,027,033	999,548	-2.68%	889,744	109,804	12.34%				
South Dakota	Plan Management	119,835	119,856	0.02%	115,501	4,355	3.77%				
Tennessee	FFM	1,627,100	1,627,132	0.00%	1,244,516	382,616	30.74%				
Texas	FFM	4,770,229	4,782,013	0.25%	4,441,605	340,408	7.66%				
Utah	FFM/SBM-SHOP	314,182	313,286	-0.29%	294,029	19,257	6.55%				
Virginia	Plan Management	988,821	989,276	0.05%	935,434	53,842	5.76%				
Wisconsin	FFM	1,046,897	1,045,274	-0.16%	985,531	59,743	6.06%				
Wyoming	FFM	63,583	63,403	-0.28%	67,518	-4,115	-6.09%				
Subtotal for All States Not I	Expanding Medicaid	22,737,566	22,707,501	-0.13%	19,143,366	3,292,186	17.20%				
Subtotal for States Not Exp Reported in August and Sep		22,737,566	22,707,501	Difference August to September 2016 -30,065							
Subtotal for States Not Exp Reported in September 201	0		22,435,552		19,143,366	Difference July-Sept 2013 to September 2016 3,292,186					

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(-)=state has not reported data except as noted below.

Partnership, Plan Management, FFM/SBM-SHOP are all types of FFMs.

Column III is calculated for only those states that reported both August and September 2016 data.

Columns V and VI are calculated for only those states that reported data from both September 2016 and the July-Sept. 2013 period.

The subtotals for states reporting data from both September 2016 and the July-Sept. 2013 period exclude ME.

Alabama	(IV)	Data is from September 2013 only.
Florida	(I), (II)	Corrected.
Florida	(I), (II)	Includes entire SSI population (unlike column (IV)).
Florida	(IV)	Does not include entire SSI population.
Missouri	(I), (II)	Does not include all individuals funded under Title XXI or enrollees in a premium grace period.
Utah	(I), (II), (IV)	Includes service limited Medicare program beneficiaries (SLMBs).
Wisconsin	(IV)	Does not include retroactive enrollment.

			Total	Enrollment		
All States	Total Medicaid and CHIP Enrollment, August 2016 (Updated) (I)	Total Medicaid and CHIP Enrollment, September 2016 (Updated) (II)	% Change August to September 2016 (Columns (I) and (II)) (III)	Average Monthly Medicaid and CHIP Enrollment, July- Sept. 2013 (IV)	Net Change, July-Sept. 2013 to September 2016 (Columns (IV) and (II)) (V)	% Change, July-Sept. 2013 to September 2016 (Columns (IV) and (II)) (VI)
Total Across All States	74,843,252	74,746,077	-0.13%	56,392,477	17,317,210	30.71%
Total for States that Reported in August and			Difference August to September 2016			
September 2016	74,843,252	74,746,077	-97,175		Difference July-Sept 2013	
Total for States that Reported in September 2016 and July-Sept. 2013		73,709,687		56,392,477	to September 2016 17,317,210	

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Column III is calculated for only those states that reported both August and September 2016 data.

Columns V and VI are calculated for only those states that reported data from both September 2016 and the July-Sept. 2013 period.

Totals for states reporting data from both September 2016 and the July-Sept. 2013 period exclude CT and ME.

#### Performance Indicator Information:

The Medicaid and CHIP performance indicators were developed in consultation with states, and are intended to assist in monitoring the eligibility and enrollment processes for Medicaid and CHIP programs in all states. A common set of definitions were developed for consistency in reporting the Medicaid and CHIP performance indicators. More detailed information about the performance indicators, including these standardized definitions can be found in the Methodology: About the Medicaid and CHIP Application, Eligibility Determination, and Enrollment Report at https://www.medicaid.gov/medicaid/program-information/medicaid-and-chip-enrollment-data/report-highlights/index.html.

The number of applications received by the Medicaid agency, a separate CHIP agency (if one exists in the state), or both during the reporting period (column (I)), includes applications received online, via mail, in person or phone. These figures do not include applications submitted to a State-Based Marketplace (SBM) or the Federally-Facilitated Marketplace (FFM). Applications requesting financial assistance (Medicaid, CHIP or the Advanced Premium Tax Credit and Cost-Sharing Reductions) that have been received by the SBM during the reporting period are reported in column (II). Please note, information on applications received by (and Medicaid and CHIP assessments and determinations made by) the Marketplaces during the Marketplace open enrollment period is included in the Health Insurance Marketplace's 2016 Open Enrollment Report (March 11, 2016).

Columns (VI) and (VII) include the total number of individuals determined eligible for Medicaid (under title XIX of the Social Security Act) (column (VI)) and CHIP (column (VII)) during the reporting period based on applications for coverage submitted to any state agency (Medicaid, CHIP or the SBM) or based on administrative determinations authorized under a targeted enrollment strategy approved by CMS. The unit of measurement is a count of individuals (as compared to the application numbers, which may include multiple people in one application). In states receiving account transfers from the FFM in the reporting period where the FFM makes an eligibility assessment (and the state then completes the Medicaid determination), these numbers include determinations made on accounts assessed as eligible and transferred from the FFM. In other states, where the state has delegated to the FFM the authority to make Medicaid eligibility determinations, the report does not include the FFM determinations because the Medicaid/CHIP agency is not performing the determinations.

States are at various points in the process of transitioning from their historic definitions to the updated standardized reporting specifications. Where states have provided data that vary from our specifications, we have included that information in the data quality limitations sections below.

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#### Data Context Notes:

• Because of reporting capability, some states included renewals, applications to SBMs, or transfers from the FFM in their Medicaid and CHIP agency application data. See the data quality limitation notes below for state-specific caveats.

- California did not report SBM application data in September and Tennessee only provided determination data from their CHIP program.
- California was able to report application data from all consortia in September 2016, unlike in August 2016.

## Table 1B: Medicaid and CHIP: September 2016 Monthly Applications and Eligibility Determinations Updated November 2016

				Applications				Determinations	
States Expanding Medicaid	Marketplace Type	New Applications Submitted to Medicaid and CHIP Agencies, September 2016 (Updated) (I)	Applications for Financial Assistance Submitted to the State Based Marketplace, September 2016 (Updated) (II)	Total Applications for Financial Assistance Submitted at State Level, September 2016 (Updated) (III)	Total Applications for Financial Assistance Submitted at State Level, August 2016 (Updated) (IV)	% Change August to September 2016 (Columns (IV) and (III)) (V)	Individuals Determined Eligible for Medicaid at Application, September 2016 (Updated) (YI)	Individuals Determined Eligible for CHIP at Application, September 2016 (Updated) (YII)	Total New Determinations, September 2016 (Updated) (VIII)
Alaska	FFM	3,515	N/A	3,515	3,927	-10.49%	3,854	-	3,854
Arizona	FFM	-	N/A	-	-	-	-	-	-
Arkansas	Partnership	-	N/A	-	19,215	-	-	-	•
California	SBM	196,089	-	196,089	49,340	297.42%	110,366	8,845	119,211
Colorado	SBM	18,046	1,932	19,978	24,490	-18.42%	18,662	270	18,932
Connecticut	SBM	9,839	4,670	14,509	16,439	-11.74%	13,167	145	13,312
Delaware	Partnership	2,889	N/A	2,889	3,266	-11.54%	593	34	627
District of Columbia	SBM	5,521	-	5,521	6,417	-13.96%	6,301	-	6,301
Hawaii	SBM**	4,566	-	4,566	4,754	-3.95%	6,312	332	
Illinois	Partnership	81,706	N/A	81,706	86,653	-5.71%	45,084	15,037	60,121
Indiana	FFM	84,883		84,883	93,679	-9.39%	37,295		
lowa	Partnership	21,041	N/A	21,041	22,850	-7.92%	3,030		3,030
Kentucky	SBM	-	13,182	13,182	14,994	-12.08%	33,341	1,620	
Louisiana	FFM	33,532		33,532	40,032	-16.24%	46,097	2,271	48,368
Maryland	SBM	7,309		103,981	96,938	7.27%	22,654		
Massachusetts	SBM	20,898	9,173	30,071	25,243	19.13%			
Michigan	Partnership	55,487		55,487	61,943	-10.42%	59,767		61,634
Minnesota	SBM	7,020		33,349	30,766	8.40%	20,299		20,350
Montana	Plan Management	4,452		4,452	5,170	-13.89%	5,462		
Nevada	SBM	19,658		19,658	21,099	-6.83%	12,632		
		8,590		8,590	8,657	-0.83%	5,554		
New Hampshire	Partnership								
New Jersey	FFM	29,321	N/A	29,321	36,724	-20.16%	12,524	5,318	17,842
New Mexico	SBM	8,418		8,418	9,851	-14.55%	-	-	-
New York	SBM	-		587,457	597,938	-1.75%	135,313		145,430
North Dakota	FFM	1,870		1,870	2,105	-11.16%	2,661	56	
Ohio	Plan Management	53,512		53,512	193,513	-72.35%	253,577	-	200,077
Oregon	SBM**	30,075		30,075	37,453	-19.70%	33,386	3,151	36,537
Pennsylvania	FFM	89,556	N/A	89,556	189,447	-52.73%	53,664	9,006	62,670
Rhode Island	SBM	-	-	-	13,086	-	-	-	-
Vermont	SBM	3,804	1,973	5,777	6,352	-9.05%	5,202		
Washington	SBM	22,864	61,118	83,982	82,794	1.43%	40,611	948	
West Virginia	Partnership	28,618	N/A	28,618	25,453	12.43%	,3 7	605	11,922
Subtotal for All States Exp	anding Medicaid	853,079	802,506	1,655,585	1,830,588	-7.94%	998,725	66,466	1,065,191
Subtotal for All States with Providing Coverage in Rep	-	853,079	802,506	1,655,585	1,830,588	-7.94%	998,725	66,466	1,065,191
Subtotal for States Expand Reported in August and Se	ing Medicaid that ptember 2016		ment functions (the EEM make	1,655,585	1,798,287	Difference August to September 2016 -142,702			

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\*\*= The state's Marketplace uses the FFM's information technology platform for eligibility and enrollment functions (the FFM makes either assessments or determinations of Medicaid and CHIP eligibility).

(-)=state has not reported data except as noted below.

Column V is calculated for only those states that reported August and September 2016 Applications data (subtotals exclude AR, AZ, RI).

Partnership, Plan Management, FFM/SBM-SHOP are all types of FFMs.

† Reported value is less than 10, excluded from data set to ensure privacy.

## Table 1B: Medicaid and CHIP: September 2016 Monthly Applications and Eligibility Determinations Updated November 2016

Alaska	(I), (III), (IV), (VI), (VII)	Includes renewals converting to MAGI methodology.
Alaska	(VI), (VIII)	Includes CHIP.
California	(I),(IV)	Reflects primarily newly-determined and likely eligible Medicaid applicants,
California		as well as some ongoing caseload activity conducted via the state's health exchange automation system.
California	(IV), (VI), (VII), (VIII)	Does not include data from all consortia.
California	(VI)	Reflects primarily newly-determined and likely eligible Medicaid applicants
California		as well as some ongoing caseload activity conducted via the state's health exchange automation system.
California	(VI), (VII), (VIII)	Does not include all eligibility determinations.
Connecticut	(I), (III), (IV)	Data may include some duplication of applications between Medicaid and CHIP.
Connecticut	(II)	Data may contain duplicate applications.
Connecticut	(II), (VI), (VII), (VIII)	May include some change in circumstance updates that are not new applications for coverage.
District of Columbia	(I)	Includes SBM data.
District of Columbia	(III), (IV)	Includes renewals.
District of Columbia	(VI)	Includes all determinations (e.g., renewals); includes CHIP.
lowa	(VI)	Does not include MAGI determinations.
lowa	(VI), (VII), (VIII)	Data are incomplete; does not include all determinations.
lowa	(I), (III), (IV), (VI), (VII)	Includes renewals.
lowa	(I), (III), (IV)	Includes SSI enrollees automatically enrolled in Medicaid without submitting an application.
Maryland	(VI), (VII), (VIII)	Count is of households, not individuals. Includes State Medicaid Agency data and SBM data from 9/1 - 9/30.
Maryland		had designed as the control control of the
Michigan	(VI)	Includes some renewals from the SBM. Does not include MAGI determinations.
Michigan	(VI) (VI)	Does not include magi determinations.
Nevada	(I), (III), (IV)	
Nevada	(VI)	Includes renewals. Count is of households, not individuals. Includes renewals.
New Jersey	(I), (III), (IV)	Includes applications received at county welfare agencies.
New Jersey	(VI), (VII), (VIII)	Does not include all eligibility determinations; county welfare agencies determinations are not included in this data.
New Jersey	(*1), (*11), (*11)	Does not include an englorinty determinations; county wenare agencies determinations are not included in this data.
New York	(II), (III), (IV), (VI), (VII), (VIII)	Includes renewals.
Ohio	(I), (III), (IV)	Includes renewals.
Ohio	(IV)	May include some applications only for other benefits, not for Medicaid and CHIP.
Ohio	(VI)	Includes CHIP.
Ohio	(VI), (VIII)	Includes renewals.
Oregon	(VI)	Count is of households, not individuals.
Oregon	(I), (III), (IV) (VI), (VIII)	Includes MAGI populations only.
Pennsylvania	(I), (III), (IV)	Includes renewals.
Pennsylvania	(VI), (VIII)	Includes renewals.
Pennsylvania	(VI), (VII), (VIII)	Count is of households, not individuals.
Pennsylvania	(IV)	Count is a mix of applications and individual applicants.
Rhode Island	(I), (III), (IV), (VI), (VII)	Includes only applications received and determinations made through new MAGI system.
Vermont	(III), (IV)	Includes renewals.
Vermont	(VI)	Includes renewals.

Table 1B: Medicaid and CHIP: September 2016 Monthly Applications and Eligibility Determinations Updated November 2016

				Applications				Determinations	
States Not Expanding Medicaid	Marketplace Type	New Applications Submitted to Medicaid and CHIP Agencies, September 2016 (Updated) (I)	Applications for Financial Assistance Submitted to the State Based Marketplace, September 2016 (Updated) (II)	Total Applications for Financial Assistance Submitted at State Level, September 2016 (Updated) (III)	Total Applications for Financial Assistance Submitted at State Level, August 2016 (Updated) (IV)	% Change August to September 2016 (Columns (IV) and (III)) (V)	Individuals Determined Eligible for Medicaid at Application, September 2016 (Updated) (VI)	Individuals Determined Eligible for CHIP at Application, September 2016 (Updated) (VII)	Total New Determinations, September 2016 (Updated) (VIII)
Alabama	FFM	15,520	N/A	15,520	17,921	-13.40%	25,829	3,266	29,095
Florida	FFM	288,758	N/A	288,758	331,767	-12.96%	127,804	15,675	143,479
Georgia	FFM	74,987	N/A	74,987	85,120	-11.90%	40,487	2,487	42,974
Idaho	SBM	7,595	-	7,595	8,506	-10.71%	5,370	326	5,696
Kansas	Plan Management	-	N/A	-	-	-	-	-	-
Maine	Plan Management	1,831	N/A	1,831	1,856	-1.35%	9,840	326	10,166
Mississippi	FFM/SBM-SHOP	16,355	N/A	16,355	19,488	-16.08%	12,139	524	12,663
Missouri	FFM	19,400	N/A	19,400	22,733	-14.66%	11,162	-	11,162
Nebraska	Plan Management	7,403	N/A	7,403	7,761	-4.61%	7,075	887	7,962
North Carolina	FFM	22,529	N/A	22,529	24,611	-8.46%	40,165	4,426	44,591
Oklahoma	FFM	36,661	N/A	36,661	45,108	-18.73%	41,380	6,661	48,041
South Carolina	FFM	22,572	N/A	22,572	26,003	-13.19%	6,256	107	6,363
South Dakota	Plan Management	2,650	N/A	2,650	2,899	-8.59%	1,691	-	1,691
Tennessee	FFM	524	N/A	524	564	-7.09%	-	469	469
Texas	FFM	121,590	N/A	121,590	136,482	-10.91%	108,487	16,527	125,014
Utah	FFM/SBM-SHOP	23,437	N/A	23,437	25,610	-8.48%	47,684	-	47,684
Virginia	Plan Management	23,365	N/A	23,365	27,333	-14.52%	17,568	703	18,271
Wisconsin	FFM	24,431	N/A	24,431	26,964	-9.39%	17,505	1,851	19,356
Wyoming	FFM	1,574	N/A	1,574	1,695	-7.14%	-	-	-
Subtotal for All States Not	Expanding Medicaid	711,182	-	711,182	812,421	-12.47%	520,442	54,235	574,677
Subtotal for States Not Exp	anding Medicaid that					Difference August to September 2016			
Reported in August and Sep	otember 2016			711,182	812,421	-101,239			

Total Across All States	1,564,261	802,506	2,366,767	2,643,009	-9.34%	1,519,167	120,701	1,639,868
					Difference August to			
Total for States that Reported in August and					September 2016			
September 2016			2,366,767	2,610,708	-243,941			

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(-)=state has not reported data except as noted below.

Column V is calculated for only those states that reported August and September 2016 Applications data (subtotals exclude KS; totals exclude AR, AZ, KS, RI).

Partnership, Plan Management, FFM/SBM-SHOP are all types of FFMs.

# Percent change figures in the subtotal of column V exclude TN, which only reports CHIP data.

† Reported value is less than 10, excluded from data set to ensure privacy.

Florida	(I), (III), (IV)	Includes account transfers from the FFM assessed for CHIP.
Florida	(I), (III), (IV)	Does not include applications for partial benefit programs.
Florida	(VI), (VIII)	Does not include determinations for partial benefit programs.
Missouri	(VI)	Includes CHIP.
Missouri	(VI), (VIII), (VIII)	Count is of households, not individuals.
South Carolina	(VI), (VII), (VIII)	Includes only determinations made in legacy system, which include MAGI and/or CHIP cases that are in blended non-MAGI households or non-MAGI only household.
South Dakota	(I), (III), (IV)	Includes account transfers from the FFM.
South Dakota	(I), (III), (IV)	Number of applications is undercounted.
South Dakota	(I), (III), (IV)	Includes individuals who are administratively enrolled without submitting an application.
South Dakota	(VI)	Includes CHIP.
South Dakota	(VI), (VII), (VIII)	Count is of households, not individuals.
South Dakota	(VI), (VII), (VIII)	Includes renewals.
Tennessee	(I), (III)	Includes only unborn CHIP children.
Tennessee	(IV)	Data are from CHIP agency only. Medicaid reporting capability in development.
Tennessee	(VII), (VIII)	Includes only unborn CHIP children.
Tennessee	(VI)	Excluded because data is only from CHIP agency.
Utah	(I), (III), (IV)	Includes applications for non-health coverage programs.
Utah	(I), (III), (IV)	Includes account transfers from the FFM.
Utah	(VI)	Includes CHIP.
Virginia	(I), (III), (IV)	Includes renewals.
Virginia	(VI), (VII)	Includes renewals.
Wisconsin	(I), (III), (IV)	Excludes all partial benefit program applications except for family planning.
Wisconsin	(VI), (VII)	Count is of unique individuals receiving determinations, not unique determinations.
Wyoming	(I), (III), (IV)	Does not include applications received online.
Wyoming	(I), (III), (IV)	Includes SSI enrollees automatically enrolled in Medicaid without submitting an application.